IRVING L. FAUGHT
ADMINISTRATOR

MARY FALLIN
GOVERNOR

STATE OF OKLAHOMA
DEPARTMENT OF SECURITIES

MEMORANDUM

To: ***Irving L. Faught, Administrator***

From: ***Ken Maillard, Director of Registrations***

Date: ***July 2, 2015***

Re: ***REGISTRATIONS & EXEMPTIONS REPORT***

Attached hereto please find the report of the activities of the registration and exemption section as of June 30, 2015

Attachment

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recycled paper

Oklahoma Department of Securities

Corporate Finance Division Summary of Applications Processed

For the period 7/1/2014 to 6/30/2015

Application Type	Total Processed	Total with Deficiencies /Comments	% of Total Processed to Total with Deficiencies	Total Granted/ Registered	Total Granted/ Registered After Correcting Deficiency	% of Total Granted/ Registered After Correcting Deficiency to Total with Deficiencies	Withdrawn Because of Deficiency	Denied Because of Deficiency
Business Opportunity Reg., Initial	0	0	0%	0	0	0%	0	0
Coordination, Initial	27	17	63%	6	5	29%	0	0
No Action/Interpretive Opinion	1	0	0%	0	0	0%	0	0
Qualification, Initial	1	1	100%	0	0	0%	0	0
Registered Oklahoma Issuers	0	0	0%	0	0	0%	0	0
Exemptions	66	8	12%	57	6	75%	0	0
- Oklahoma Issuers	4	1	25%	4	1	100%	0	0
Reg. D Filings (506 only)	736	23	3%	620	14	61%	0	0
- Oklahoma Issuers	66	0	0%	59	0	0%	0	0
Subdivided Land Sales	0	0	0%	0	0	0%	0	0
Public Trust Filings	132	0	0%	108	0	0%	0	0
Totals:	**1033**	**50**	**5%**	**854**	**26**	**52%**	**0**	**0**