

UNITED STATES COURT OF APPEALS
FOR THE TENTH CIRCUIT

U.S. COMMODITY FUTURES
TRADING COMMISSION, et al.,

Plaintiffs – Appellees,

v.

SIMON YANG, an individual,
a/k/a Xiao Yang, a/k/a Simon Chen,

Defendant – Appellant,

and

PRESTIGE VENTURES CORP.,
A Panamanian corporation, et al.,

Defendants.

Appellate Case No. 10-6287

(W.D. Okla. Case No. CIV-09-01284-R)

ENTRY OF APPEARANCE AND CERTIFICATE OF INTERESTED PARTIES

In accordance with 10th Cir. R. 46.1, the undersigned attorneys hereby appear as counsel for **Oklahoma Department of Securities ex rel. Irving L. Faught**, Plaintiff – Appellee, in the subject case.

Further, in accordance with 10th Cir. R. 46.1, the undersigned certify as follows: **(Check one.)**

On the reverse of this form is a completed certificate of interested parties and/or attorneys not otherwise disclosed, who are now or have been interested in this litigation or any related proceeding. Specifically, counsel should not include in the certificate any attorney or party identified immediately above.

There are no such parties, or any such parties have heretofore been disclosed to the court.

s/ Patricia A. Labarthe
Patricia Labarthe, OBA No. 10391
Oklahoma Department of Securities
120 North Robinson, Suite 860
Oklahoma City, OK 73012
Telephone: (405) 280-7735
Facsimile: (405) 280-7742
plabarthe@securities.ok.gov

s/ Terra Shamas Bonnell
Terra Shamas Bonnell, OBA No. 20838
Oklahoma Department of Securities
120 North Robinson, Suite 860
Oklahoma City, OK 73012
Telephone: (405) 280-7715
Facsimile: (405) 280-7742
tbonnell@securities.ok.gov

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Certificate of Interested Parties

The following are not direct parties in this appeal but do have some interest in or a relationship with the litigation or the outcome of the litigation. See 10th Cir. R. 46.1(D). In addition, attorneys not entering an appearance in this court but who have appeared for any party in prior trial or administrative proceedings, or in related proceedings, are noted below.

Parties to U.S. Commodity Futures Trading Commission, et al., Plaintiffs-Appellees v. Kenneth Wayne Lee, et al., Defendants-Appellants. (Appellate Case: 10-6276):

Kenneth Lee
1660 Jorrington St
Mt. Pleasant, SC 29466

David Lee
2676 Palmetto Hall Blvd
Mt. Pleasant, SC 29466

Sheila Lee
1660 Jorrington St
Mt. Pleasant, SC 29466

Darren A. Lee
2676 Palmetto Hall Blvd
Mt. Pleasant, SC 29466

In addition, there are approximately 140 investors in Prestige Ventures Corp., and/or Federated Management Group, Inc., who are financially interested in the outcome of this appeal.

Certificate of Service

I hereby certify that a copy of this Entry of Appearance and Certificate of Interested Parties was served on December 22, 2010, via electronic mailing, to the following:

Katherine S. Driscoll, kdriscoll@cftc.gov
James H. Holl, III, jholl@cftc.gov
Kevin S. Webb, kwebb@cftc.gov
Attorneys for Plaintiff-Appellee,
Commodity Futures Trading Commission

Warren F. Bickford, wbickford@fellerssnider.com
Attorney for Receiver, Stephen J. Moriarty

I hereby certify that a copy of this Entry of Appearance and Certificate of Interested Parties was served on December 22, 2010, via U.S. mail, postage prepaid, to the following:

Simon Yang
1912 N.W. 176th Terr.
Edmond, OK 73012
Defendant-Appellant

s/ Terra Shamas Bonnell
Terra Shamas Bonnell