



To: State of Oklahoma  
Oklahoma Department of Securities  
204 North Robinson , Suite 400  
Oklahoma City, Oklahoma 73102

Re: Kenneth S. Feyers  
ODS File 16-001  
Cease and Desist

Dear Administrator

I am responding to the cease and desist letter received and filed 10/25/2016. I believe I was in complete compliance in your State as I an Investor Advisor Representative. And under Section 403 I am except from registration: **Section 403 of the USA specifically states, "a person without a place of business in this state if the person has had, during the preceding 12 months, not more than five clients that are resident in this state".** In addition, in the comments portion of the act, it states, "Section 403(b)(2) is consistent with the National Securities Markets Improvement Act of 1996 which prohibits a state from regulating an investment adviser that does not have a place of business in this state and had fewer than six clients who were state residents during the preceding 12 months."

I have been told that Conestoga has properly registered its product in your State, if this is not true I will comply with the cease and desist. However, I have represented myself properly.

Thank you ...Do I need to set up a hearing?

Kenneth S.Feyers  
Safety of Principle Wealth Management, LLC  
11555 Heron Bay Blvd. #200  
Coral Springs, FL. 33065  
954 3266979

  
KENNETH S. FEYERS

*\* SORRY ABOUT  
THE BOLD FONT... COULD NOT  
CHANGE IT BACK.*



SAFETY OF PRINCIPLE WEALTH MANAGEMENT.  
KENNETH S. PEYERS  
Investment Advisor Representative  
Coral Springs, FL 33065

NO POSTAGE  
NECESSARY  
IF MAILED  
IN THE  
UNITED STATES



STATE OF OKLAHOMA  
OKLAHOMA DEPARTMENT OF SECURITIES  
204 NORTH ROBINSON, SUITE 400  
OKLAHOMA CITY, OK  
73102

73102-660000

