

STATE OF OKLAHOMA
DEPARTMENT OF SECURITIES
THE FIRST NATIONAL CENTER
120 N. ROBINSON, SUITE 860
OKLAHOMA CITY, OKLAHOMA 73102



In the Matter of:

Jim J. Hammons,

Respondent.

ODS File 14-017

**ENFORCEMENT DIVISION'S APPLICATION FOR ISSUANCE OF
SUBPOENAS AD TESTIFICANDUM**

The Enforcement Division of the Oklahoma Department of Securities ("Department") respectfully submits, pursuant to 660:2-9-4 of the Rules of the Oklahoma Securities Commission and the Administrator of the Department of Securities, Okla. Admin. Code §§ 660:1-1-1 through 660:25-7-1 (2013), the attached Subpoenas Ad Testificandum to Phillip Palmer and Patricia Reynolds, to the Administrator of the Department for issuance.

A handwritten signature in cursive script that reads "Terra Bonnell".

Terra Bonnell
Enforcement Attorney
Oklahoma Department of Securities
120 North Robinson, Suite 860
Oklahoma City, OK 73102
Telephone: (405) 280-7715
Email: tbonnell@securities.ok.gov

CERTIFICATE OF SERVICE

The undersigned hereby certifies that on the 13th day of August, 2014, a true and correct copy of the above and foregoing application was emailed to perry@dentonlawfirm.com and mailed with postage prepaid thereon, addressed to:

Mr. Perry Tirrell, Esq.
Denton Law Firm
925 West State Highway 152
Mustang, OK 73064
Counsel for Respondent

A handwritten signature in cursive script that reads "Terra Bonnell".

Terra Bonnell

STATE OF OKLAHOMA
DEPARTMENT OF SECURITIES
FIRST NATIONAL CENTER, SUITE 860
120 NORTH ROBINSON
OKLAHOMA CITY, OKLAHOMA 73102

In the Matter of:

Jim J. Hammons,

Respondent.

ODS File 14-017

SUBPOENA AD TESTIFICANDUM

To: Phillip Palmer
6660 South Sheridan Road, Suite 260
Tulsa, Oklahoma 74133

IN THE NAME OF THE STATE OF OKLAHOMA, and pursuant to Section 1-602 of the Oklahoma Uniform Securities Act of 2004, Okla. Stat. tit. 71, §§ 1-101 through 1-701 (2011), **YOU ARE COMMANDED TO APPEAR AND TESTIFY** in an oral deposition at the offices of Word for Word Reporting, L.L.C., located at 406 South Boulder, Suite 400, Tulsa, Oklahoma, on **Thursday, August 28, 2014, at 1:00 p.m.**

Witness my Hand and the Official Seal of the Oklahoma Department of Securities this ____ day of August, 2014.

(SEAL)

Irving L. Faught, Administrator
Oklahoma Department of Securities
First National Center, Suite 860
120 North Robinson
Oklahoma City, Oklahoma 73102
(405) 280-7700

Requested by:
Terra Bonnell
Enforcement Attorney
Oklahoma Department of Securities
First National Center, Suite 860
120 North Robinson
Oklahoma City, Oklahoma 73102
Phone: (405) 280-7715
Email: tbonnell@securities.ok.gov

CERTIFICATE OF SERVICE

I certify that on August ____, 2014, I sent the above and foregoing *Subpoena Ad Testificandum* by certified mail, return receipt requested, delivery restricted, to the following:

Mr. Phillip Palmer
President
First Independent Advisory Services, Inc.
6660 South Sheridan Road, Suite 260
Tulsa, OK 74133

I also certify that on August ____, 2014, I sent a copy of the above and foregoing *Subpoena Ad Testificandum* by email to perry@dentonlawfirm.com and by first class mail to the following:

Mr. Perry Tirrell, Esq.
Denton Law Firm
925 West State Highway 152
Mustang, OK 73064
Counsel for Respondent

Brenda London, Paralegal

STATE OF OKLAHOMA
DEPARTMENT OF SECURITIES
FIRST NATIONAL CENTER, SUITE 860
120 NORTH ROBINSON
OKLAHOMA CITY, OKLAHOMA 73102

In the Matter of:

Jim J. Hammons,

Respondent.

ODS File 14-017

SUBPOENA AD TESTIFICANDUM

To: Patricia Reynolds
6376 S. 80th E. Ave., Apt. B
Tulsa, OK 74133

IN THE NAME OF THE STATE OF OKLAHOMA, and pursuant to Section 1-602 of the Oklahoma Uniform Securities Act of 2004, Okla. Stat. tit. 71, §§ 1-101 through 1-701 (2011), **YOU ARE COMMANDED TO APPEAR AND TESTIFY** in an oral deposition at the offices of Word for Word Reporting, L.L.C., located at 406 South Boulder, Suite 400, Tulsa, Oklahoma, on **Thursday, August 28, 2014, at 10:30 a.m.**

Witness my Hand and the Official Seal of the Oklahoma Department of Securities this ____ day of August, 2014.

(SEAL)

Irving L. Fought, Administrator
Oklahoma Department of Securities
First National Center, Suite 860
120 North Robinson
Oklahoma City, Oklahoma 73102
(405) 280-7700

Requested by:
Terra Bonnell
Enforcement Attorney
Oklahoma Department of Securities
First National Center, Suite 860
120 North Robinson
Oklahoma City, Oklahoma 73102
Phone: (405) 280-7715
Email: tbonnell@securities.ok.gov

CERTIFICATE OF SERVICE

I certify that on August ____, 2014, I sent the above and foregoing *Subpoena Ad Testificandum* by certified mail, return receipt requested, delivery restricted, to the following:

Ms. Patricia Reynolds
6376 S. 80th E. Ave., Apt. B
Tulsa, OK 74133

I also certify that on August ____, 2014, I sent a copy of the above and foregoing *Subpoena Ad Testificandum* by email to perry@dentonlawfirm.com and by first class mail to the following:

Mr. Perry Tirrell, Esq.
Denton Law Firm
925 West State Highway 152
Mustang, OK 73064
Counsel for Respondent

Brenda London