

**IN THE DISTRICT COURT OF OKLAHOMA COUNTY
STATE OF OKLAHOMA**

Oklahoma Department of Securities)
ex rel. Irving L. Faught,)
Administrator,)
)
Plaintiff,)
v.)
David Warren Harris, an individual,)
)
Defendant.)

FILED IN DISTRICT COURT
OKLAHOMA COUNTY

Case No. CJ-2012-2604
Judge Barbara Swinton

JUN 28 2013

TIM RHODES
COURT CLERK

32

FINAL ORDER, JUDGMENT AND PERMANENT INJUNCTION

On this 28th day of June, 2013, this matter came before the undersigned Judge of the District Court in and for Oklahoma County, State of Oklahoma, for entry by consent of this *Final Order, Judgment and Permanent Injunction* (“Order”). After a review of the pleadings and Defendant’s *Stipulation and Consent to Final Order* (“Stipulation and Consent”), this Court finds:

On May 1, 2012, Plaintiff filed a petition alleging that Defendant David Warren Harris engaged in violations of the Oklahoma Uniform Securities Act of 2004 (Act), Okla. Stat. tit. 71, §§1-101 through 1-701 (2011), in connection with the offer and/or sale of securities in and/or from Oklahoma. Defendant executed the *Stipulation and Consent to Final Order* (Stipulation and Consent), a copy of which is attached hereto as “Exhibit A” and made a part hereof. Defendant paid a civil penalty to the Department in the in the sum of One Thousand Dollars (\$1,000). Plaintiff has no objection to the terms of the Stipulation and Consent and agrees to the entry of this Order.

Based on the pleadings, execution of the Stipulation and Consent, and payment of the civil penalty to the Department, the Court finds that this Order should be entered, and therefore:

IT IS HEREBY ORDERED, ADJUDGED AND DECREED that Defendant is permanently enjoined from transacting business in and/or from the state of Oklahoma as an issuer, issuer agent, broker-dealer, broker-dealer agent, investment adviser, and/or investment adviser representative, as defined in the Act, or otherwise engaging in the offer and/or sale of securities in and/or from the state of Oklahoma.

IT IS FURTHER ORDERED that this Court will retain jurisdiction of this matter for the purpose of enforcement of this Order and the Stipulation and Consent.

IT IS SO ORDERED.

Dated this 28th day of June, 2013.

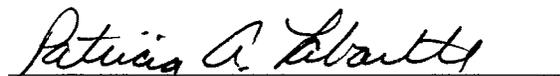
BARBARA SWINTON

JUDGE OF THE DISTRICT COURT

Approved:

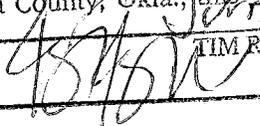


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Attorney for Defendant



Patricia A. Labarthe, OBA #10391
Robert Fagnant, OBA #30548
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Oklahoma City, Oklahoma 73102
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rfagnant@securities.ok.gov
Attorneys for Plaintiff

I, TIM RHODES, Court Clerk for Oklahoma County, Okla., certify that the foregoing is a true, correct and complete copy of the instrument as appears of record in the District Court Clerk's Office of Oklahoma County, Okla., this June 27th day of 2013.


By _____ TIM RHODES, Court Clerk
Deputy

CERTIFICATE OF SERVICE

The undersigned certifies that on the 28th day of June, 2013, a copy of the foregoing *Final Order, Judgment and Permanent Injunction* was mailed by first class mail with postage prepaid to:

P.R. Tirrell
Denton Law Firm
925 W. State Highway 152
Mustang, OK 73064
Attorney for Defendant


Patricia A. Labarthe

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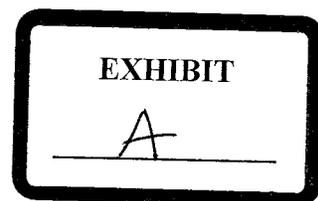
STIPULATION AND CONSENT TO FINAL ORDER

Defendant David Warren Harris stipulates to the following facts:

1. Plaintiff is the proper party to bring this action seeking the relief requested in the *Petition for Permanent Injunction and/or other Equitable Relief* (“Petition”) filed in this matter.
2. Defendant is subject to the jurisdiction of this Court and this Court has subject matter jurisdiction of this action.
3. Defendant offered and/or sold securities in and/or from the State of Oklahoma between December 2010 and July 2011.
4. Defendant was not registered in any capacity under the Oklahoma Uniform Securities Act of 2004 (Act), Okla. Stat. tit. 71, §§1-101 through 1-701 (2011).
5. Defendant desires to settle this matter expeditiously and in a manner consistent with the purposes fairly intended by the Act, and thus enters this Stipulation and Consent to Final Order.

Defendant hereby undertakes as follows:

1. Defendant consents to the entry of the *Final Order, Judgment and Permanent Injunction* (“Order”), in the form attached hereto as “Exhibit A”.



2. Defendant consents to be permanently enjoined from transacting business in and/or from the state of Oklahoma as an issuer, issuer agent, broker-dealer, broker-dealer agent, investment adviser, and/or investment adviser representative, as defined in the Act, or otherwise engaging in the offer and/or sale of securities in and/or from the state of Oklahoma.

3. Defendant agrees, upon execution of this Stipulation and Consent, to pay a civil penalty, payable by check or money order to the Oklahoma Department of Securities, in the sum of One Thousand Dollars (\$1,000).

4. Defendant states that this Stipulation and Consent is entered into voluntarily and that no threat or promise of immunity of any kind has been made by the Administrator of the Oklahoma Department of Securities, any employee of the Oklahoma Department of Securities, or any member of the Oklahoma Securities Commission, to induce Defendant to enter into this Stipulation and Consent.

5. Defendant agrees that the provisions of this Stipulation and Consent shall be incorporated by reference into the Order as though set forth in full therein.

6. Defendant waives any right to appeal the Order.

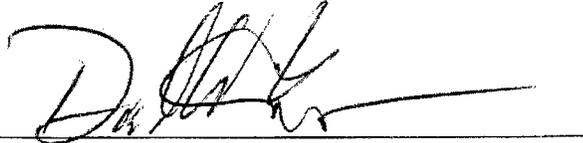
7. Defendant agrees that this Court will retain jurisdiction over this matter for the purpose of enforcement of the Order and this Stipulation and Consent.

8. Defendant understands that Plaintiff will take action as authorized by law including, but not limited to, an enforcement action under the Act, for Defendant's failure to comply with the terms of the Order and this Stipulation and Consent in any material respect.

9. Defendant agrees to the presentation of this Stipulation and Consent and the Order to the Court to be entered without further notice to Defendant.

IN WITNESS WHEREOF, Defendant has executed this Stipulation and Consent as of the date and year set forth below his signature hereto.

David Warren Harris

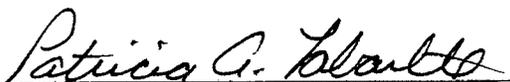


Date: 16 APR 13

Approved:



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)	Judge Barbara Swinton
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Based on the pleadings, execution of the Stipulation and Consent, and payment of the civil penalty to the Department, the Court finds that this Order should be entered, and therefore:

EXHIBIT
 A

IT IS HEREBY ORDERED, ADJUDGED AND DECREED that Defendant is permanently enjoined from transacting business in and/or from the state of Oklahoma as an issuer, issuer agent, broker-dealer, broker-dealer agent, investment adviser, and/or investment adviser representative, as defined in the Act, or otherwise engaging in the offer and/or sale of securities in and/or from the state of Oklahoma.

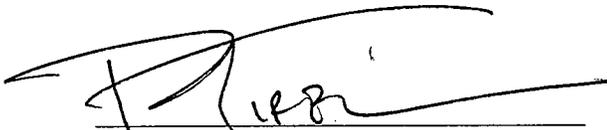
IT IS FURTHER ORDERED that this Court will retain jurisdiction of this matter for the purpose of enforcement of this Order and the Stipulation and Consent.

IT IS SO ORDERED.

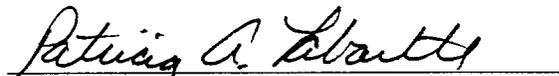
Dated this _____ day of _____, 2013.

JUDGE OF THE DISTRICT COURT

Approved:



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The undersigned certifies that on the ____ day of June, 2013, a copy of the foregoing *Final Order, Judgment and Permanent Injunction* was mailed by first class mail with postage prepaid to:

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Attorney for Defendant



Patricia A. Labarthe