

IN THE DISTRICT COURT OF OKLAHOMA COUNTY  
STATE OF OKLAHOMA

FILED IN DISTRICT COURT  
OKLAHOMA COUNTY

JUN 23 2015

TIM RHODES  
COURT CLERK

30

Oklahoma Department of Securities )  
ex rel. Irving L. Faught, )  
Administrator, )  
 )  
Plaintiff, )  
 )  
v. )  
 )  
Bruce J. Scambler, )  
 )  
Defendant. )

Case No. CJ-2014-1346

**FINAL ORDER, JUDGMENT AND PERMANENT INJUNCTION**

On this 23<sup>rd</sup> day of June, 2015, this matter came before the undersigned Judge of the District Court in and for Oklahoma County, State of Oklahoma, for entry by consent of this *Final Order, Judgment and Permanent Injunction* ("Final Order"). After a review of the pleadings and Defendants' *Stipulation and Consent to Final Order* ("Stipulation and Consent"), this Court finds:

On March 7, 2014, Plaintiff filed a petition under the Oklahoma Uniform Securities Act of 2004 ("Act"), Okla. Stat. tit. 71, §§ 1-101 through 1-701 (2011). The petition alleged that Defendant Bruce Scambler (Defendant Scambler), individually and through an issuer under his control, offered and sold securities in and from Oklahoma in violation of a cease and desist order issued by the Administrator of the Department (Administrator) pursuant to Section 1-604 of the Act. Defendant Scambler executed the Stipulation and Consent attached hereto as "Exhibit A to Order" and made a part hereof. Plaintiff has no objection to the terms of the Stipulation and Consent and agrees to the entry of this Final Order.

Based on the pleadings and execution of the Stipulation and Consent, this Final Order should be entered, and therefore:

IT IS HEREBY ORDERED that Defendant Scambler is enjoined from transacting business in and/or from the state of Oklahoma as an agent, issuer, broker-dealer, investment adviser and/or investment adviser representative, as defined in the Act, or otherwise engaging in the offer and/or sale of securities in and/or from the state of Oklahoma for a period of ten (10) years from the date of this Final Order.

IT IS FURTHER ORDERED that Defendant Scambler is enjoined from serving as an officer, director, manager or control person of an entity offering or selling in and/or from the state of Oklahoma any security as an issuer for a period of ten (10) years from the date of this Final Order.

IT IS FURTHER ORDERED that Defendant Scambler is enjoined from being actively engaged in management of any securities business of any broker-dealer or investment adviser registered or required to be registered under the Act for a period of ten (10) years from the date of this Final Order. For purposes of this Agreement, "actively engaged in management" means the day-to-day conduct of the firm's securities business.

IT IS FURTHER ORDERED that the Stipulation and Consent is incorporated herein with the same force and effect as if fully set forth herein, and that Defendant Scambler shall comply with all of the undertakings and agreements set forth therein.

IT IS FURTHER ORDERED that this Court shall retain jurisdiction of this matter for all purposes, including the enforcement of this Agreed Order.

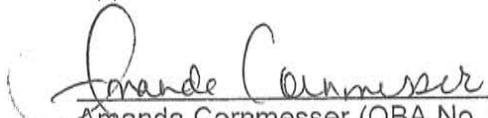
IT IS SO ORDERED.

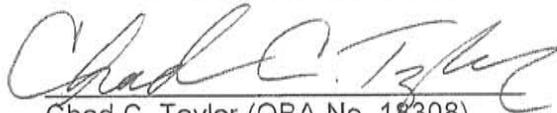
Dated this 23<sup>rd</sup> day of June, 2015

**ROGER H. STUART**

\_\_\_\_\_  
JUDGE OF THE DISTRICT COURT

Approved.

  
Amanda Cornmesser (OBA No 20044)  
Terra Shamas Bonnell (OBA No 20838)  
Oklahoma Department of Securities  
120 North Robinson, Suite 860  
Oklahoma City, Oklahoma 73102  
Telephone (405) 280-7700  
Fax (405) 280-7742  
Email: [acornmesser@securities.ok.gov](mailto:acornmesser@securities.ok.gov);  
[tbonnell@securities.ok.gov](mailto:tbonnell@securities.ok.gov)

  
Chad C. Taylor (OBA No. 18308)  
528 N W. 12<sup>th</sup> Street  
Oklahoma City, OK 73103  
Telephone (405) 843-9909  
Facsimile: (405) 842-2913  
[ctaylor@riggsabney.com](mailto:ctaylor@riggsabney.com)

**CERTIFIED COPY**  
**AS FILED OF RECORD**  
**IN DISTRICT COURT**

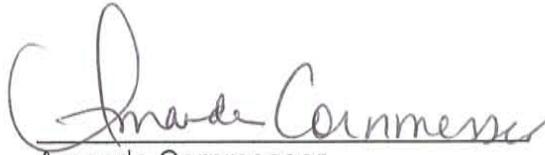
JUN 23 2015

**TIM RHODES** Court Clerk  
Oklahoma County  


**CERTIFICATE OF MAILING**

The undersigned certifies that on the 23<sup>rd</sup> day of June, 2015, a true and correct copy of the foregoing *Final Order, Judgment, and Permanent Injunction*, with the *Stipulation and Consent to Final Order* attached, was mailed to:

Chad C. Taylor (OBA No. 18308)  
528 N.W. 12<sup>th</sup> Street  
Oklahoma City, OK 73103  
Telephone: (405) 843-9909  
Facsimile: (405) 842-2913  
ctaylor@riggsabney.com  
*Attorney for Defendants*

  
Amanda Cornmesser

**IN THE DISTRICT COURT OF OKLAHOMA COUNTY  
STATE OF OKLAHOMA**

Oklahoma Department of Securities	)	
<i>ex rel.</i> Irving L. Faught,	)	
Administrator,	)	
	)	
Plaintiff,	)	
	)	
v.	)	Case No. CJ-2014-1346
	)	
Bruce J. Scambler,	)	
	)	
Defendant.	)	

**DEFENDANT'S STIPULATION AND CONSENT TO FINAL ORDER**

Defendant Bruce Scambler (Defendant Scambler) hereby stipulates to the following:

1. The Oklahoma Department of Securities (Plaintiff) is the proper party to bring this action seeking the relief requested in the *Petition for Permanent Injunction and Other Equitable Relief* (Petition) filed in this matter pursuant to the Oklahoma Uniform Securities Act of 2004 ("Act"), Okla. Stat. tit. 71, §§ 1-101 through 1-701 (2011).

2. Defendant Scambler is subject to the jurisdiction of this Court by receipt of proper service of a summons and the Petition and his appearance in this matter.

3. Defendant Scambler, individually and through an issuer under his control, offered and sold securities in and from Oklahoma in violation of a cease and desist order issued by the Administrator of the Department (Administrator) pursuant to Section 1-604 of the Act.

4. Defendant Scambler agrees not to take any action or to make or permit to be made any public statement denying, directly or indirectly, any allegation in the Petition or creating the impression that the Petition is without factual basis. Nothing in this provision affects Defendant Scambler's: (a) testimonial obligations in any matter; or (b) right to take legal or factual positions in litigation in which Plaintiff is not a party.

Defendant Scambler hereby undertakes as follows:

1. Defendant Scambler consents to the entry of the *Final Order, Judgment and Permanent Injunction* (Final Order), in the form attached as Exhibit "A" hereto.

2. Defendant Scambler agrees not to transact business in and/or from the state of Oklahoma as an agent, issuer, broker-dealer, investment adviser and/or investment adviser representative, as defined in the Act, or otherwise engage in the offer and/or sale of securities in and/or from the state of Oklahoma for a period of ten (10) years from the date of the Final Order.

3. Defendant Scambler agrees not to serve as an officer, director, manager or control person of an entity offering or selling in and/or from the state of Oklahoma any security as an issuer for a period of ten (10) years from the date of the Final Order.

4. Defendant Scambler agrees to not be actively engaged in the management of any securities business of any broker-dealer or investment adviser registered or required to be registered under the Act for a period of ten (10) years from the date of the Final Order. For purposes of this Agreement,

"actively engaged in management" means the day-to-day conduct of the firm's securities business from the date of the Final Order.

5. Defendant Scambler states that this Stipulation and Consent is entered into voluntarily and that no threat or promise of immunity of any kind has been made by Plaintiff, the Oklahoma Securities Commission or any member thereof, the Administrator of the Oklahoma Department of Securities, or any employee of the Oklahoma Department of Securities, to induce Defendant Scambler to enter this Stipulation and Consent.

6. Defendant Scambler waives any right to appeal from the Final Order.

7. Defendant Scambler agrees that the provisions of this Stipulation and Consent shall be incorporated by reference into the Final Order as though set forth in full therein.

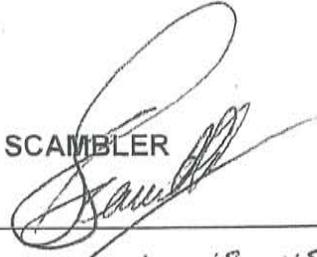
8. Defendant Scambler agrees that this Court will retain jurisdiction of this matter for the purpose of enforcement of the Final Order and this Stipulation and Consent.

9. Defendant Scambler understands that Plaintiff will take action as authorized by law including, but not limited to, an enforcement action under the Act, for Defendant's failure to comply with the terms of the Final Order and this Stipulation and Consent in any respect or for any future violation of the Act.

10. Defendant Scambler agrees to the presentation of this Stipulation and Consent and the Final Order to the Court to be entered without further notice to him.

IN WITNESS WHEREOF, Defendant Scambler has executed this Stipulation and Consent as of the date and year set forth below his signature hereto.

BRUCE SCAMBLER



Date:

6-18-15



IN THE DISTRICT COURT OF OKLAHOMA COUNTY  
STATE OF OKLAHOMA

Oklahoma Department of Securities )  
ex rel. Irving L. Faught, )  
Administrator, )

Plaintiff, )

v. )

Bruce J. Scambler, )

Defendant. )

Case No. CJ-2014-1346

**FINAL ORDER, JUDGMENT AND PERMANENT INJUNCTION**

On this \_\_\_\_ day of June, 2015, this matter came before the undersigned Judge of the District Court in and for Oklahoma County, State of Oklahoma, for entry by consent of this *Final Order, Judgment and Permanent Injunction* ("Final Order"). After a review of the pleadings and Defendants' *Stipulation and Consent to Final Order* ("Stipulation and Consent"), this Court finds:

On March 7, 2014, Plaintiff filed a petition under the Oklahoma Uniform Securities Act of 2004 ("Act"), Okla. Stat. tit. 71, §§ 1-101 through 1-701 (2011). The petition alleged that Defendant Bruce Scambler (Defendant Scambler), individually and through an issuer under his control, offered and sold securities in and from Oklahoma in violation of a cease and desist order issued by the Administrator of the Department (Administrator) pursuant to Section 1-604 of the Act. Defendant Scambler executed the Stipulation and Consent attached hereto as "Exhibit A to Order" and made a part hereof. Plaintiff has no objection to the terms of the Stipulation and Consent and agrees to the entry of this Final Order.

Based on the pleadings and execution of the Stipulation and Consent, this Final Order should be entered, and therefore:

IT IS HEREBY ORDERED that Defendant Scambler is enjoined from transacting business in and/or from the state of Oklahoma as an agent, issuer, broker-dealer, investment adviser and/or investment adviser representative, as defined in the Act, or otherwise engaging in the offer and/or sale of securities in and/or from the state of Oklahoma for a period of ten (10) years from the date of this Final Order.

IT IS FURTHER ORDERED that Defendant Scambler is enjoined from serving as an officer, director, manager or control person of an entity offering or selling in and/or from the state of Oklahoma any security as an issuer for a period of ten (10) years from the date of this Final Order.

IT IS FURTHER ORDERED that Defendant Scambler is enjoined from being actively engaged in management of any securities business of any broker-dealer or investment adviser registered or required to be registered under the Act for a period of ten (10) years from the date of this Final Order. For purposes of this Agreement, "actively engaged in management" means the day-to-day conduct of the firm's securities business.

IT IS FURTHER ORDERED that the Stipulation and Consent is incorporated herein with the same force and effect as if fully set forth herein, and that Defendant Scambler shall comply with all of the undertakings and agreements set forth therein.

IT IS FURTHER ORDERED that this Court shall retain jurisdiction of this matter for all purposes, including the enforcement of this Agreed Order.

IT IS SO ORDERED.

Dated this \_\_\_\_ day of June, 2015.

---

JUDGE OF THE DISTRICT COURT

Approved:

---

Amanda Cornmesser (OBA No. 20044)  
Terra Shamas Bonnell (OBA No. 20838)  
Oklahoma Department of Securities  
120 North Robinson, Suite 860  
Oklahoma City, Oklahoma 73102  
Telephone: (405) 280-7700  
Fax: (405) 280-7742  
Email: [acornmesser@securities.ok.gov](mailto:acornmesser@securities.ok.gov);  
[tbonnell@securities.ok.gov](mailto:tbonnell@securities.ok.gov)

---

Chad C. Taylor (OBA No. 18308)  
528 N.W. 12<sup>th</sup> Street  
Oklahoma City, OK 73103  
Telephone: (405) 843-9909  
Facsimile: (405) 842-2913  
[ctaylor@riggsabney.com](mailto:ctaylor@riggsabney.com)