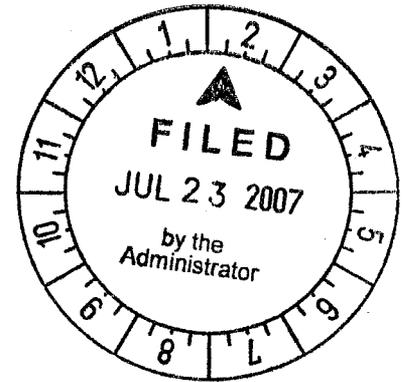


STATE OF OKLAHOMA
DEPARTMENT OF SECURITIES
FIRST NATIONAL CENTER, SUITE 860
120 NORTH ROBINSON
OKLAHOMA CITY, OKLAHOMA 73102



In the Matter of:

Jasper E. Bidy IV (CRD No. 3212045), and
Legacy Wealth Management, LLC (CRD No. 133078),

Respondents.

ODS File No. 07-098

NOTICE OF SERVICE ON THE ADMINISTRATOR
AND
AFFIDAVIT OF COMPLIANCE

STATE OF OKLAHOMA)
)
COUNTY OF OKLAHOMA) ss.

The undersigned affiant, of lawful age, being first duly sworn upon oath deposes and states:

1. That he is the Administrator of the Oklahoma Department of Securities ("Department").

2. That a copy of the *Notice of Opportunity for Hearing on Recommendation* ("Notice") with the *Enforcement Division Recommendation To Revoke and Summarily Suspend Registrations* ("Recommendation") attached was delivered to Affiant in the office of the Administrator of the Department ("Administrator") pursuant to Section 1-611 of the Oklahoma Uniform Securities Act ("Act"), Okla. Stat. tit. 71, §§ 1-101 through 1-701 (Supp. 2003).

3. That the Administrator has received service of process on behalf of Respondents pursuant to Section 1-611 of the Act.

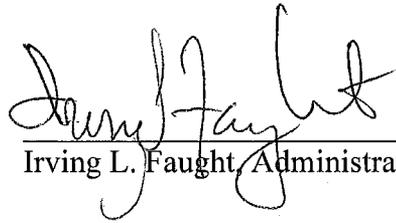
4. That a copy of the Notice, with the Recommendation attached, and a copy of this *Notice of Service on the Administrator and Affidavit of Compliance* are being sent this 23rd day of July, 2007, by certified mail, return receipt requested, delivery restricted to addressee, to the last known address of Respondents, in compliance with Section 1-611 of the Act.

5. That this Affidavit of Compliance is declared filed of record as of the date set forth below in compliance with Section 1-611 of the Act.

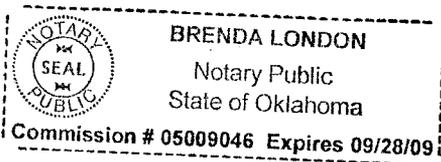
FURTHER AFFIANT SAYETH NOT.

Dated this 23rd day of July, 2007.

(SEAL)


Irving L. Faught, Administrator

Subscribed and sworn to before me this 23rd day of July, 2007.


BRENDA LONDON
Notary Public
State of Oklahoma
Commission # 05009046 Expires 09/28/09

(SEAL)


Brenda London
Notary Public

STATE OF OKLAHOMA
DEPARTMENT OF SECURITIES
FIRST NATIONAL CENTER, SUITE 860
120 NORTH ROBINSON
OKLAHOMA CITY, OKLAHOMA 73102



In the Matter of:

Jasper E. Bidy IV (CRD No. 3212045), and
Legacy Wealth Management, LLC (CRD No. 133078),

Respondents.

ODS File No. 07-098

NOTICE OF OPPORTUNITY FOR HEARING ON RECOMMENDATION

1. Pursuant to Section 1-410 of the Oklahoma Uniform Securities Act of 2004 ("Act"), Okla. Stat. tit. 71, §§ 1-101 through 1-701 (Supp. 2003), the Examinations Division of the Oklahoma Department of Securities ("Department") conducted an inspection of Legacy Wealth Management, LLC ("Legacy") and Jasper E. Bidy IV ("Bidy") (collectively, "Respondents"), for the time period January 1, 2006, through April 30, 2007 ("2007 Examination").

2. Based thereon, the attached *Enforcement Division Recommendation to Revoke and Summarily Suspend Registrations* ("Recommendation") was left in the office of the Administrator of the Department ("Administrator") on the 20th day of July, 2007.

3. Pursuant to 660:2-9-1 of the Rules of the Oklahoma Securities Commission and the Administrator of the Department of Securities (as amended July 1, 2007) ("Rules") and Section 1-411 of the Act, the Administrator hereby gives notice to Respondents of their obligation to file an answer and their right to request a hearing to show why an order based on the Recommendation should not be issued.

4. The answer must be in writing and received by the Administrator within fifteen (15) days after service of this Notice. As required by 660:2-9-2 of the Rules, the answer shall indicate whether Respondents request a hearing and shall specifically admit or deny each allegation contained in the Recommendation or state that Respondents do not have, and are unable to obtain, sufficient information to admit or deny each allegation.

5. Failure to file an answer in compliance with 660:2-9-2 of the Rules or to request a hearing as provided for herein shall result in the issuance of an order revoking the registrations of Respondents, pursuant to Section 1-411 of the Act and 660:2-9-2 of the Rules.

6. Upon receipt of a written request, pursuant to 660:2-9-2 of the Rules, a hearing on the Recommendation shall be promptly scheduled or a written order denying hearing shall be issued.

7. Notice of the date, time and location of the hearing shall be given to Respondents not less than forty-five (45) days in advance thereof, pursuant to 660:2-9-2 of the Rules.

Witness my Hand and the Official Seal of the Oklahoma Department of Securities this 23rd day of July, 2007.

(SEAL)



IRVING L. FAUGHT, ADMINISTRATOR OF THE
OKLAHOMA DEPARTMENT OF SECURITIES

CERTIFICATE OF MAILING

The undersigned hereby certifies that on the 23rd day of July, 2007, a true and correct copy of the above and foregoing Second Summary Order Suspending Registrations was emailed to Respondents at jbiddy@legacy-wealth.com and mailed by certified mail, return receipt requested, delivery restricted, with postage prepaid thereon, addressed to:

Legacy Wealth Management, LLC
609 South Kelly Avenue, Suite K2
Edmond, OK 73003

Jasper E. Bidy, IV
Legacy Wealth Management, LLC
609 South Kelly Avenue, Suite K2
Edmond, OK 73003



CERTIFICATE OF MAILING

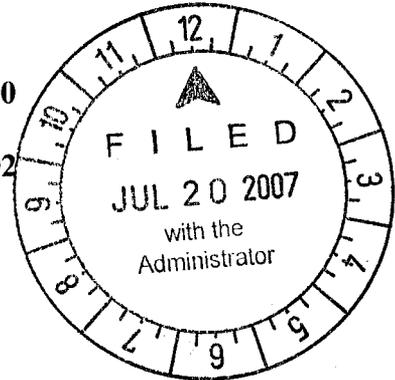
The undersigned hereby certifies that on the 23rd day of July, 2007, a true and correct copy of the above and foregoing Notice of Opportunity for Hearing on Recommendation was emailed to Respondents at jbiddy@legacy-wealth.com and mailed by certified mail, return receipt requested, delivery restricted, with postage prepaid thereon, addressed to:

Legacy Wealth Management, LLC
609 South Kelly Avenue, Suite K2
Edmond, OK 73003

Jasper E. Bidy, IV
Legacy Wealth Management, LLC
609 South Kelly Avenue, Suite K2
Edmond, OK 73003

Brenda London

STATE OF OKLAHOMA
DEPARTMENT OF SECURITIES
FIRST NATIONAL CENTER, SUITE 860
120 NORTH ROBINSON
OKLAHOMA CITY, OKLAHOMA 73102



In the Matter of:

Jasper E. Bidy IV (CRD No. 3212045), and
Legacy Wealth Management, LLC (CRD No. 133078),

Respondents.

ODS File No. 07-098

**ENFORCEMENT DIVISION RECOMMENDATION
TO REVOKE AND SUMMARILY SUSPEND REGISTRATIONS**

Pursuant to Section 1-410 of the Oklahoma Uniform Securities Act of 2004 ("Act"), Okla. Stat. tit. 71, §§ 1-101 through 1-701 (Supp. 2003), the Examinations Division ("Examinations Division") of the Oklahoma Department of Securities ("Department") conducted an inspection of Legacy Wealth Management, LLC ("Legacy") and Jasper E. Bidy IV ("Bidy") (collectively, "Respondents"), for the time period January 1, 2006, through April 30, 2007 ("2007 Examination"). Based thereon, the following Findings of Fact, Authorities, and Conclusions of Law are submitted to the Administrator of the Department ("Administrator") in support of sanctions against Respondents.

Findings of Fact

Respondents

1. In November 2004, Legacy registered under the Act as an investment adviser and is currently registered as such. From May 25, 2007, until July 3, 2007, Legacy's investment adviser registration was suspended for failure to provide access to certain of its books and records to the Examinations Division for purposes of the 2007 Examination. At all times material hereto, Legacy's principal office and place of business has been located at 609 South Kelly Avenue in Edmond, Oklahoma ("Legacy's Office").

2. In November 2004, Bidy registered under the Act as an investment adviser representative of Legacy and is currently registered as such. At all times material hereto, Bidy has been the President and Chief Executive Officer of Legacy. Bidy's investment adviser representative registration was suspended from May 25, 2007, until July 3, 2007, for Legacy's failure to provide access to certain of its books and records to the Examinations Division for purposes of the 2007 Examination. Bidy is currently the sole investment adviser representative of Legacy.

3. The Administrator reinstated Respondents' registrations on July 3, 2007, because Bidy delivered copies of customer records for customers with last names beginning with "G" through "Z" to the Department on June 28, 2007.

4. Before Bidy delivered the requested documents on June 28, 2007, the Examinations Division requested account statements and new account forms for Respondents' customers from TD Ameritrade (formerly, "TD Waterhouse"), the broker-dealer through which Respondents effected securities transactions.

Prior Examination

5. In January 2006, a representative of the Examinations Division of the Department conducted a routine inspection of Legacy's Office ("2006 Examination"). As a result of the 2006 Examination, the Department sent a letter to Respondents notifying them of the following deficiencies:

- a. Respondents did not maintain a general ledger relating to their investment advisory business;
- b. Respondents were not sending statements containing quarterly fee calculations to their clients;
- c. Legacy's annual amendment of Form ADV did not reflect Legacy's current address;
- d. Legacy's investment advisory agreements contained an arbitration provision stating that the laws of the State of Florida govern the agreement; and
- e. Bidy's business card and Legacy's website showed Bidy's use of the designations of Registered Financial Consultant ("RFC") and Certified Senior Advisor ("CSA") even though Bidy was not a CSA or an RFC.

6. The 2006 Examination was resolved with an undertaking by Respondents to comply in the future with the applicable provisions of the Oklahoma securities laws and regulations adopted pursuant thereto.

2007 Examination

7. As part of the 2007 Examination, the Department reviewed the advisory fees charged by Respondents in forty-six (46) customer accounts. The advisory fees charged, during the time period January 1, 2006 through December 31, 2006, in sixteen (16) of the reviewed accounts ("Relevant Accounts") exceeded the annualized fee to be charged according to the *Legacy Wealth Management, LLC Investment Advisory Agreement* ("IA Agreement") signed by

the respective accountholder(s), as demonstrated in Attachment A that is attached hereto and incorporated herein by reference.

8. As shown in Attachment A, Respondents charged eleven (11) of the Relevant Accounts advisory fees in excess of three percent (3%). Such fees exceed the industry standard, in addition to the annualized fees stated in the IA Agreements, and are unreasonable.

9. Respondents attempted to conceal from the Department their over-billing of advisory fees by altering many of the Relevant Accounts' statements they provided to the Department and by not providing a portion of the Relevant Accounts' statements to the Department for purposes of the 2007 Examination.

10. Respondents altered the account statements by removing all, or part, of the "journal" transactions described as management fees from the "Other Debit and Credit Activity" and "Chronological Recap of above Monthly Activity" sections of the statements. As shown in Attachment A, Respondents altered account statements for eight (8) of the Relevant Accounts. For illustration purposes, a redacted copy of the March 2006 statement for one of the Relevant Accounts, as received by the Department directly from TD Ameritrade, is attached hereto as Attachment B. A redacted copy of the March 2006 statement for the same account, as received by the Department from Respondents, is attached hereto as Attachment C. A comparison of Attachment B to Attachment C shows that the "journal" entry that occurred on March 2, 2006, described as "LEGACY WEALTH MANAGEMENT LLC 06/01/2006-8/31/2006 MGMT FEE," appears on Attachment B under the "Other Debit and Credit Activity" and the "Chronological Recap of above Monthly Activity" sections but is missing from Attachment C.

11. Despite the *Summary Order Suspending Registrations* issued by the Administrator against Respondents on May 25, 2007, Respondents failed to provide the Department with access to several of the statements for the Relevant Accounts, as exhibited on Attachment A. The missing statements for the Relevant Accounts should contain the same type of "journal" transactions for the payment of advisory fees that Respondents removed from other account statements.

To the extent any of these Findings of Fact are more properly characterized as Conclusions of Law, they should be so considered.

Authorities

1. Section 660:11-7-42 of the Rules of the Oklahoma Securities Commission and the Administrator of the Department of Securities (as adopted July 1, 2004) ("Rules") states, in pertinent part:

(a) **Purpose.** This rule is intended to set forth the standards of ethical practices for investment advisers and investment adviser representatives. Any noncompliance with the standards set forth in

this section will constitute unethical practices in the securities business as the same is set forth in Section 1-411.D.13 of the Securities Act; however, the following is not intended to be a comprehensive listing of all specific events or conditions that may constitute such unethical practices. The standards shall be interpreted in such manner as will aid in effectuating the policy and provisions of the Securities Act, and so as to require that all practices of investment advisers and investment adviser representatives in connection with their activities in this state shall be just, reasonable and not unfairly discriminatory. The standards set forth in this section and the disclosure delivery requirement set forth in 660:11-7-43 shall apply to all investment advisers and investment adviser representatives.

(b) **Standards.** An investment adviser or investment adviser representative shall not engage in dishonest or unethical practices including, although not limited to, the following:

* * *

(8) Misrepresenting to any advisory client, or prospective advisory client, the qualifications of the investment adviser or an investment adviser representative or misrepresenting the nature of the advisory services being offered or fees to be charged for such service, or omitting to state a material fact necessary to make the statements made regarding qualifications, services or fees, in light of the circumstances under which they are made, not misleading.

(9) Charging a client an unreasonable advisory fee.

2. Section 660:11-7-45 of the Rules states:

(a) **Periodic examinations.** The business and records of each investment adviser registered under the Securities Act may be periodically examined by the Administrator and/or person(s) designated by him at such times and in such scope as the Administrator determines prudent and necessary for the protection of the public. A report of each such examination shall be prepared.

(b) **Department access.** Each investment adviser scheduled for examination shall provide the personnel of the Department access to business books, documents, and other records. Each investment adviser shall provide personnel with office space and facilities to

conduct on-site examinations, and assistance in the physical inspection of assets and confirmation of liabilities. Failure of any applicant or registrant to provide such access shall constitute a violation of this section and shall be a basis for denial, suspension or revocation of the registration or application for registration.

3. Section 1-410 of the Act states, in pertinent part:

D. The records of a broker-dealer registered or required to be registered under this act and an investment adviser registered or required to be registered under this act are subject to such reasonable periodic, special, or other audits or inspections by a representative of the Administrator, within or without this state, as the Administrator considers necessary or appropriate in the public interest and for the protection of investors. An audit or inspection may be made at any time and without prior notice. The Administrator may copy, and remove for audit or inspection copies of, all records the Administrator reasonably considers necessary or appropriate to conduct the audit or inspection.

4. Section 1-411 of the Act states, in pertinent part:

B. If the Administrator finds that the order issued is in the public interest and subsection D of this section authorizes the action an order issued under this act may revoke, suspend, condition, or limit the registration of a registrant and if the registrant is a broker-dealer or investment adviser, any partner, officer, or director, any person having a similar status or performing similar functions, or any person directly or indirectly controlling the broker-dealer or investment adviser. . . .

C. If the Administrator finds that the order is in the public interest and paragraphs 1 through 6, 8, 9, 10, 12, or 13 of subsection D of this section authorizes the action, an order under this act may censure, impose a bar, impose a civil penalty in an amount not to exceed a maximum of Five Thousand Dollars (\$5,000.00) for a single violation or Two Hundred Fifty Thousand Dollars (\$250,000.00) for multiple violations on a registrant, and/or recover the costs of the investigation from a registrant and if the registrant is a broker-dealer or investment adviser, from any partner, officer, or director, any person having a similar function or any person directly or indirectly controlling the broker-dealer or investment adviser.

D. A person may be disciplined under subsections A through C of this section if the person:

* * *

2. Has willfully violated or willfully failed to comply with this act or the predecessor act or a rule adopted or order issued under this act or the predecessor act within the previous ten (10) years;

* * *

8. Refuses to allow or otherwise impedes the Administrator from conducting an audit or inspection under subsection D of Section 27 of this act [Section 1-410 of this title] or refuses access to any registrant's office to conduct an audit or inspection under subsection D of Section 27 of this act [Section 1-410 of this title];

* * *

13. Has engaged in dishonest or unethical practices in the securities, commodities, investment, franchise, banking, finance or insurance business within the previous ten (10) years[.]

* * *

F. The Administrator may summarily postpone an application or summarily suspend a registration before final determination of an administrative proceeding. Upon the issuance of the order, the Administrator shall promptly notify each person subject to the order that the order has been issued, the reasons for the action, and that within fifteen (15) days after the receipt of a request in a record from the person the matter will be scheduled for a hearing and such hearing shall be commenced within fifteen (15) days of the matter being set for hearing. If a hearing is not requested and none is ordered by the Administrator, within thirty (30) days after the date of service of the order, the order becomes final by operation of law. If a hearing is requested or ordered, the Administrator, after notice of and opportunity for hearing to each person subject to the order, may modify or vacate the order or extend the order until final determination.

* * *

H. A person who controls, directly or indirectly, a person not in compliance with this section may be disciplined by order of the Administrator under subsections A through C of this section to the same extent as the noncomplying person, unless the controlling person did not

know, and in the exercise of reasonable care could not have known, of the existence of conduct that is the basis for discipline under this section.

5. Section 1-502 of the Act states, in pertinent part:

A. It is unlawful for a person that advises others, for compensation, either directly or indirectly, or through publications or writings, as to the value of securities or the advisability of investing in, purchasing or selling securities, or that, for compensation and as part of a regular business, issues or promulgates analyses or reports concerning securities:

1. To employ a device, scheme, or artifice to defraud another person;
2. To make an untrue statement of a material fact or to omit to state a material fact necessary in order to make the statement made, in the light of the circumstances under which it is made, not misleading; or
3. To engage in an act, practice, or course of business that operates or would operate as a fraud or deceit upon another person.

B. 1. A rule adopted under this act may define an act, practice, or course of business of an investment adviser or an investment adviser representative as fraudulent, deceptive or manipulative, and prescribe means reasonably designed to prevent investment advisers and investment adviser representatives from engaging in acts, practices, and courses of business defined as fraudulent, deceptive, or manipulative.

Conclusions of Law

1. Respondents willfully engaged in dishonest and unethical practices by doing the following, in violation of 660:11-7-42 of the Rules: (a) misrepresenting the annualized advisory fees to be charged in the Relevant Accounts in the IA Agreements signed by the accountholders; (b) charging certain of the Relevant Accounts unreasonable advisory fees; and (c) altering account statements of the Relevant Accounts.

2. Respondents impeded a representative of the Administrator from conducting an audit or inspection under Section 1-410 of the Act by failing to provide the Examinations Division with access to certain account statements for the Relevant Accounts and by submitting altered account statements for the Relevant Accounts to the Examinations Division, in violation of Section 1-410 of the Act and 660:11-7-45 of the Rules.

3. Respondents engaged in an act, practice, and course of business that operated as a fraud and deceit upon the accountholders of the Relevant Accounts, in violation of Section 1-502 of the Act.

4. The Administrator is authorized pursuant to Section 1-411 of the Act to revoke and summarily suspend the registrations of Respondents.

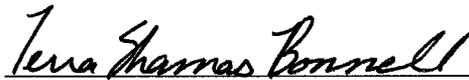
5. It is in the public interest for the Administrator to revoke and summarily suspend the registrations of Respondents.

To the extent any of these Conclusions of Law are more properly characterized as Findings of Fact, they should be so considered.

WHEREFORE, it is recommended that the Administrator revoke the investment adviser registration of Legacy and the investment adviser representative registration of Bidy, summarily suspend the investment adviser registration of Legacy and the investment adviser representative registration of Bidy until a final determination on the revocation of their registrations, and impose any other sanctions deemed appropriate and authorized by law.

Dated this 20th day of July, 2007.

Respectfully submitted,



Terra Shamas Bonnell, OBA No. 20838
Oklahoma Department of Securities
120 North Robinson, Suite 860
Oklahoma City, OK 73102
Telephone: (405) 280-7700
Facsimile: (405) 280-7742

Account	Annualized Advisory Fee per IA Agmt	Freq. of Fee per IA Agmt	Date of IA Agreement	Date Fee Charged	Fee Charged per Statement	Time Period Covered per Statement or Customer Invoice	**Prior Period Assets	Invoice sent to Customer	***Fee Percentage	Fee removed from Acct. Stmt.	Acct. Stmt. not provided to ODS by Respondents
534-91630-1-3	*1.67%	Quarterly	04/07/05	02/22/06	\$363.38	3/1/06-5/31/06	\$90,357.81	no	0.40%		
534-91630-1-3				05/24/06	\$338.20	6/1/06-8/30/06	\$90,208.30	\$338.20	0.37%		
534-91630-1-3				07/10/06	\$339.95	7/1/06-9/30/06	\$80,909.81	\$339.95	0.42%		
534-91630-1-3				08/18/06	\$345.16		\$81,315.73	no	0.42%	X	
534-91630-1-3				10/02/06	\$321.01	10/1/06-12/31/06	\$76,888.67	\$321.01	0.42%		
534-91630-1-3				2006 TOTAL	\$1,707.70				2.04%		
506-74444-1-3											
506-74444-1-3	1.20%	Quarterly	1/20/2005	01/13/06	\$849.98	3/1/06-5/31/06	\$208,007.45	no	0.41%		
506-74444-1-3				03/02/06	\$965.15	6/1/06-8/31/06	\$250,949.64	no	0.38%	X	
506-74444-1-3				05/05/06	\$1,243.04	5/1/06-7/31/06	\$295,163.30	\$1,243.04	0.42%		
506-74444-1-3				06/20/06	\$1,011.02	7/1/06-9/30/06	\$272,635.17	no	0.37%	X	
506-74444-1-3				08/02/06	\$1,048.64	7/1/06-9/30/06	\$272,639.48	\$1,048.64	0.38%		
506-74444-1-3				08/17/06	\$1,053.24		\$272,639.48	no	0.39%	X	
506-74444-1-3				09/05/06	\$774.81		\$275,472.79	no	0.28%	X	
506-74444-1-3				10/02/06	\$766.49	10/1/06-12/31/06	\$272,482.11	\$766.49	0.28%		
506-74444-1-3				10/19/06	\$824.50		\$272,482.11	no	0.30%	X	
506-74444-1-3				11/15/06	\$845.82		\$278,861.31	no	0.30%	X	
506-74444-1-3				2006 TOTAL	\$9,382.69				3.52%		
506-82646-1-2											
506-82646-1-2	*1.67%	Quarterly	03/02/05	01/13/06	\$899.30	3/1/06-5/31/06	\$215,976.76	no	0.42%		
506-82646-1-2				03/02/06	\$965.48	6/1/06-8/31/06	\$232,338.90	no	0.42%		X
506-82646-1-2				04/20/06	\$941.75	5/1/06-7/31/06	\$237,809.94	no	0.40%		
506-82646-1-2				06/08/06	\$901.45	6/1/06-8/31/06	\$224,909.52	no	0.40%		X
506-82646-1-2				07/10/06	\$767.76		\$223,855.96	no	0.34%		
506-82646-1-2				08/02/06	\$909.62		\$224,696.41	no	0.40%		X
506-82646-1-2				08/17/06	\$902.55		\$224,696.41	no	0.40%		X
506-82646-1-2				09/06/06	\$897.97		\$226,687.11	no	0.40%		X
506-82646-1-2				10/02/06	\$889.67		\$221,474.31	no	0.40%		X
506-82646-1-2				10/19/06	\$935.46		\$221,474.31	no	0.42%		X
506-82646-1-2				11/17/06	\$690.17		\$225,927.58	no	0.31%		X
506-82646-1-2				12/04/06	\$982.21		\$234,897.02	no	0.42%		X
506-82646-1-2				2006 TOTAL	\$10,683.39				4.72%		

Account	Annualized Fee Advisory Fee per IA Agmt	Freq. of Fee per IA Agmt	Date of IA Agreement	Date Fee Charged	Fee Charged per Statement	Time Period Covered per Statement or Customer Invoice	**Prior Period Assets	Invoice sent to Customer	***Fee Percentage	Fee removed from Acct Stmt	Acct Stmt. not provided to ODS by Repondents
506-80237-1-1	*1.67%	Quarterly	03/28/05	01/13/06	\$6.74	3/1/06-5/31/06	\$2,201.87	no	0.31%		
506-80237-1-1				03/02/06	\$7.28	6/1/06-8/31/06	\$2,426.49	no	0.30%		X
506-80237-1-1				05/24/06	\$8.17	6/1/06-8/30/06	\$2,729.94	no	0.30%		
506-80237-1-1				07/03/06	\$8.57		\$2,856.37	no	0.30%		
506-80237-1-1				10/02/06	\$9.33		\$3,110.19	no	0.30%		
506-80237-1-1				11/08/06	\$9.97		\$3,321.47	no	0.30%		X
506-80237-1-1				2006 TOTAL	\$50.06				1.81%		
502-91336-1-7	*1.67%	Quarterly	03/28/05	01/13/06	\$9.56	3/1/06-5/31/06	\$3,025.14	no	0.32%		
502-91336-1-7				03/02/06	\$10.63	6/1/06-8/31/06	\$3,500.30	no	0.30%		X
502-91336-1-7				05/24/06	\$11.73	6/1/06-8/30/06	\$4,036.35	no	0.29%		
502-91336-1-7				07/03/06	\$12.39		\$4,129.14	no	0.30%		
502-91336-1-7				10/02/06	\$12.77		\$4,257.95	no	0.30%		
502-91336-1-7				11/08/06	\$13.90		\$4,631.08	no	0.30%		X
502-91336-1-7				2006 TOTAL	\$70.98				1.81%		
502-91339-1-4	*1.67%	Quarterly	03/28/05	01/13/06	\$18.74	3/1/06-5/31/06	\$5,915.20	no	0.32%		
502-91339-1-4				03/02/06	\$20.17	6/1/06-8/31/06	\$6,637.49	no	0.30%		X
502-91339-1-4				05/24/06	\$21.27	6/1/06-8/30/06	\$7,435.00	no	0.29%		
502-91339-1-4				07/03/06	\$22.17		\$7,390.22	no	0.30%		
502-91339-1-4				10/02/06	\$21.90		\$7,300.54	no	0.30%		
502-91339-1-4				11/08/06	\$23.67		\$7,888.60	no	0.30%		X
502-91339-1-4				2006 TOTAL	\$127.92				1.81%		
506-70945-1-5	*1.67%	Quarterly	03/22/05	01/13/06	\$130.45	3/1/06-5/31/06	\$29,987.51	no	0.44%		
506-70945-1-5				03/02/06	\$148.78	6/1/06-8/31/06	\$36,817.24	no	0.40%		X
506-70945-1-5				04/20/06	\$150.98	5/1/06-7/31/06	\$35,689.63	no	0.42%		
506-70945-1-5				07/03/06	\$115.41		\$27,490.77	no	0.42%		
506-70945-1-5				10/02/06	\$107.92		\$25,849.17	no	0.42%		
506-70945-1-5				11/08/06	\$115.27		\$27,061.80	no	0.43%		X
506-70945-1-5				2006 TOTAL	\$768.81				2.53%		

Account	Annualized Advisory Fee per IA Agmt	Freq. of Fee per IA Agmt	Date of IA Agreement	Date Fee Charged	Fee Charged per Statement	Time Period Covered per Statement or Customer Invoice	**Prior Period Assets	Invoice sent to Customer	***Fee Percentage	Fee removed from Acct. Stmt.	Acct. Stmt. not provided to ODS by Repondents
506-41294-1-3	*1.67%	Quarterly	08/12/05	01/12/06	\$1,574.95	2/1/06-4/30/06	\$362,827.75	no	0.43%		
506-41294-1-3				04/12/06	\$1,656.23	4/1/06-6/30/06	\$399,811.79	\$1,656.23	0.41%		
506-41294-1-3				06/05/06	\$1,591.03	6/1/06-8/31/06	\$379,236.63	no	0.42%		X
506-41294-1-3				07/17/06	\$1,573.60	7/1/06-9/30/06	\$375,917.36	\$1,573.60	0.42%		
506-41294-1-3				08/15/06	\$1,580.06		\$377,073.86	no	0.42%		X
506-41294-1-3				09/06/06	\$1,589.08		\$382,147.77	no	0.42%		X
506-41294-1-3				10/02/06	\$1,561.18	10/1/06-12/31/06	\$373,936.93	\$1,561.18	0.42%		X
506-41294-1-3				10/19/06	\$1,575.66		\$373,936.93	no	0.42%		X
506-41294-1-3				11/17/06	\$1,162.37		\$382,010.68	no	0.30%		X
506-41294-1-3				12/04/06	\$1,652.32		\$395,160.11	no	0.42%		X
506-41294-1-3				2006 TOTAL	\$15,516.48				4.08%		
529-96425-1-1	*1.67%	Quarterly	03/10/05	01/10/06	\$342.32	1/1/06-3/31/06	\$81,992.81	\$342.32	0.42%		
529-96425-1-1				01/13/06	\$349.46	3/1/06-5/31/06	\$81,992.81	no	0.43%	X	
529-96425-1-1				03/02/06	\$359.52	6/1/06-8/31/06	\$85,485.79	no	0.42%	X	
529-96425-1-1				05/05/06	\$410.46	5/1/06-7/31/06	\$96,440.29	\$410.46	0.43%		
529-96425-1-1				06/05/06	\$374.50	6/1/06-8/31/06	\$89,610.95	no	0.42%	X	
529-96425-1-1				07/03/06	\$370.24	7/1/06-9/30-06	\$88,681.72	\$370.24	0.42%		
529-96425-1-1				08/03/06	\$379.96		\$90,263.78	no	0.42%	X	
529-96425-1-1				09/05/06	\$387.82		\$93,063.60	no	0.42%	X	
529-96425-1-1				10/02/06	\$363.10	10/1/06-12/31/06	\$86,969.87	\$363.10	0.42%		
529-96425-1-1				11/08/06	\$394.04		\$92,670.00	no	0.43%	X	
529-96425-1-1				12/04/06	\$423.21		\$101,084.04	no	0.42%	X	
529-96425-1-1				2006 TOTAL	\$4,154.63				4.62%		
506-81886-1-3	*1.67%	Quarterly	02/16/05	01/13/06	\$986.28	3/1/06-5/31/06	\$232,187.00	\$986.28	0.42%		
506-81886-1-3				03/02/06	\$1,013.87	6/1/06-8/31/06	\$244,958.00	no	0.41%	X	
506-81886-1-3				04/20/06	\$987.27	5/1/06-7/31/06	\$235,802.31	\$987.27	0.42%		
506-81886-1-3				06/05/06	\$974.87	6/1/06-8/31/06	\$231,622.17	no	0.42%	X	
506-81886-1-3				07/03/06	\$957.98	1/1/06-3/31/06	\$229,304.00	\$957.98	0.42%		
506-81886-1-3				08/03/06	\$529.14		\$126,400.00	no	0.42%	X	

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506-81886-1-3				09/05/06	534.04		\$128,018.00	no	0.42%	X	
506-81886-1-3				10/02/06	\$530.93	10/1/06-12/31/06	\$127,168.00	\$530.93	0.42%		
506-81886-1-3				10/19/06	\$526.72		\$127,168.00	no	0.41%	X	
506-81886-1-3	2.00%		12/01/06	12/19/06	\$548.53		\$130,102.40	no	0.42%	X	
506-81886-1-3				2006 TOTAL	\$7,589.63				4.19%		
506-74460-1-2	*1.67%	Quarterly	01/19/05	01/13/06	\$543.71	3/1/06-5/31/06	\$126,624.60	\$543.71	0.43%		X
506-74460-1-2				05/24/06	\$357.03	4/1/06-6/30/06	\$90,185.97	\$357.03	0.40%		X
506-74460-1-2				06/21/06	\$557.35	7/1/06-9/30/06	\$85,627.38	no	0.65%		X
506-74460-1-2				07/17/06	\$358.60	7/1/06-9/30/06	\$85,414.06	\$358.60	0.42%		X
506-74460-1-2				08/15/06	\$308.33		\$85,875.35	no	0.36%		X
506-74460-1-2				09/05/06	\$311.85		\$74,978.52	no	0.42%		X
506-74460-1-2				10/02/06	\$297.85	10/1/06-12/31/06	\$71,342.89	\$297.85	0.42%		X
506-74460-1-2				10/19/06	\$302.88		\$71,342.89	no	0.42%		X
506-74460-1-2				11/17/06	\$223.58		\$73,166.34	no	0.31%		X
506-74460-1-2				12/08/06	\$235.26		\$55,589.70	no	0.42%		X
506-74460-1-2				2006 TOTAL	\$3,496.44				4.24%		
506-90278-1-0	*1.67%	Quarterly	03/11/05	01/13/06	\$134.21	3/1/06-5/31/06	\$30,918.44	no	0.43%		
506-90278-1-0				03/02/06	\$138.76	6/1/06-8/31/06	\$33,275.45	no	0.42%	X	
506-90278-1-0				04/12/06	\$143.46	4/1/06-6/30/06	\$34,187.31	\$143.46	0.42%		
506-90278-1-0				05/24/06	\$135.14	6/1/06-8/31/06	\$34,822.18	no	0.39%	X	
506-90278-1-0				06/05/06	\$136.45	6/1/06-8/31/06	\$32,493.19	no	0.42%	X	
506-90278-1-0				07/03/06	\$96.56	7/1/06-9/30/06	\$32,185.17	\$96.56	0.30%		
506-90278-1-0				08/18/06	\$97.85		\$32,448.97	no	0.30%	X	
506-90278-1-0				09/05/06	\$97.86		\$32,551.36	no	0.30%	X	
506-90278-1-0				10/02/06	\$133.03	10/1/06-12/31/06	\$31,864.51	\$133.03	0.42%		
506-90278-1-0				11/08/06	\$99.02		\$32,717.66	no	0.30%	X	
506-90278-1-0				12/19/06	\$143.17		\$33,919.08	no	0.42%	X	
506-90278-1-0				2006 TOTAL	\$1,355.51				4.12%		
506-90274-1-4	*1.67%	Quarterly	03/11/05	01/13/06	\$347.11	3/1/06-5/31/06	\$80,208.86	no	0.43%		

Account	Annualized Advisory Fee per IA Agmt	Freq. of Fee. per IA Agmt	Date of IA Agreement	Date Fee Charged	Fee Charged per Statement	Time Period Covered per Statement or Customer Invoice	**Prior Period Assets	Invoice sent to Customer	***Fee Percentage	Fee removed from Acct. Stmt.	Acct. Stmt. not provided to ODS by Respondents
506-90274-1-4				03/02/06	\$356.42	6/1/06-8/31/06	\$85,367.32	no	0.42%	X	
506-90274-1-4				04/12/06	\$368.01	4/1/06-6/30/06	\$87,264.80	\$368.01	0.42%		
506-90274-1-4				05/24/06	\$353.26	6/01/06-8/31/06	\$89,510.13	no	0.39%	X	
506-90274-1-4				06/05/06	\$360.69	6/1/06-8/31/06	\$85,339.09	no	0.42%	X	
506-90274-1-4				07/03/06	\$251.64	7/1/06-9/30/06	\$83,879.70	\$251.64	0.30%		
506-90274-1-4				08/18/06	\$255.47		\$84,593.47	no	0.30%	X	
506-90274-1-4				09/05/06	\$256.77		\$85,487.04	no	0.30%	X	
506-90274-1-4				10/02/06	\$351.65	10/1/06-12/31/06	\$84,227.94	\$351.65	0.42%		
506-90274-1-4				11/08/06	\$259.49		\$85,962.28	no	0.30%	X	
506-90274-1-4				12/19/06	\$374.87		\$88,849.61	no	0.42%	X	
506-90274-1-4				2006 TOTAL	\$3,535.38				4.13%		
514-93731-1-9	*1.67%	Quarterly	03/28/05	02/22/06	\$180.55	3/1/06-5/31/06	\$45,300.37	no	0.40%		
514-93731-1-9				05/24/06	\$151.54	6/1/06-8/3/06	\$41,462.06	no	0.37%		
514-93731-1-9				06/22/06	\$149.65	6/1/06-8/31/06	\$36,702.59	no	0.41%		X
514-93731-1-9				08/29/06	\$158.12		\$36,107.18	no	0.44%		
514-93731-1-9				09/05/06	\$152.14		\$36,781.68	no	0.41%		X
514-93731-1-9				10/02/06	\$140.93		\$33,756.04	no	0.42%		
514-93731-1-9				11/08/06	\$151.59		\$35,567.12	no	0.43%		X
514-93731-1-9				12/19/06	\$190.37		\$37,641.70	no	0.51%		X
514-93731-1-9				2006 TOTAL	\$1,274.89				3.37%		
545-97467-1-4	*1.67%	Quarterly	03/07/05	05/05/06	\$69.59	5/1/06-7/31/06	\$25,002.76	no	0.28%	X	
545-97467-1-4				05/30/06	\$1,099.64	6/1/06-8/31/06	\$25,002.76	no	4.40%	X	
545-97467-1-4				07/03/06	\$1,175.50	4/1/06-6/30/06	\$281,232.60	\$1,175.50	0.42%		
545-97467-1-4				08/03/06	\$1,152.90		\$283,630.31	no	0.41%	X	
545-97467-1-4				09/06/06	\$1,026.44		\$286,738.47	no	0.36%	X	
545-97467-1-4				10/02/06	\$1,116.49	10/1/06-12/31/06	\$273,597.48	\$1,116.49	0.41%		
545-97467-1-4				11/17/06	\$885.95		\$286,231.01	no	0.31%	X	
545-97467-1-4				12/08/06	\$1,270.11		\$300,885.22	no	0.42%	X	
545-97467-1-4				2006 TOTAL	\$7,796.62				7.00%		

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531-99986-1-2	*1.67%	Quarterly	03/07/05	01/05/06	\$1,189.87	1/1/06-3/31/06	\$284,613.17	no	0.42%		
531-99986-1-2				04/03/06	\$1,299.58	4/1/06-6/30/06	\$322,034.12	\$1,299.58	0.40%		
531-99986-1-2				05/30/06	\$1,192.65	6/1/06-8/31/06	\$322,822.53	no	0.37%	X	
531-99986-1-2				07/03/06	\$1,232.02	4/1/06-6/30/06	\$294,756.30	\$1,232.02	0.42%		
531-99986-1-2				08/03/06	\$1,208.86		\$297,426.74	no	0.41%	X	
531-99986-1-2				09/06/06	\$1,076.86		\$300,294.94	no	0.36%	X	
531-99986-1-2				10/02/06	\$1,170.15	10/1/06-12/31/06	\$286,748.08	\$1,170.15	0.41%		
531-99986-1-2				11/17/06	\$928.71		\$300,085.02	no	0.31%	X	
531-99986-1-2				12/08/06	\$1,331.56		\$315,399.55	no	0.42%	X	
531-99986-1-2				2006 TOTAL	\$10,630.26				3.51%		

*The IA Agreement states that the annualized advisory fee is 1.67% for the first \$500,000 under management and is reduced to 1.20% for portfolios consisting of only mutual funds and ETF holdings.

**The "Prior Period Assets" is the account's market value on the last business day of the month prior to the month in which the applicable advisory fee was charged. If the "Prior Period Assets" was zero, the market value used by Respondents on the customer invoice is used on this attachment in place of the Prior Period Assets.

***The "Fee Percentage" is the "Fee Charged per Statement" divided by the "Prior Period Assets."



Waterhouse
Institutional Services

TD Waterhouse Institutional Services

100 Wall Street
New York, NY 10005-3701

A division of TD Waterhouse Investor Services, Inc. Member NYSE/SIPC.

ACCOUNT STATEMENT

ACCOUNT NO.	LAST STATEMENT	PERIOD ENDING
529-96425-1-1	02/28/2006	03/31/2006

YOUR INVESTMENT ADVISOR
LEGACY WEALTH MGMT LLC
609 S KELLEY AVE SUITE K-2
EDMOND OK 73003

(405) 341-7676

PORTFOLIO VALUE SUMMARY

	THIS PERIOD	%	LAST PERIOD	%
MONEY MARKET ACCT - FDIC (NOT COVERED BY SIPC)				
MONEY MARKET FUND	\$36,055.02	40.4	\$ 34,301.56	40.1
STOCKS				
FIXED INCOME				
OPTIONS				
MUTUAL FUNDS	53,110.34	59.6	51,184.23	59.9
UNIT INVESTMENT TRUSTS				
TOTAL PORTFOLIO VALUE	\$89,165.36	100.0	\$85,485.79	100.0

PORTFOLIO POSITIONS LONG

ACCT	QUANTITY	DESCRIPTION	SYMBOL	MARKET PRICE	MARKET VALUE	PORT PCT	DIV OR INT %	EST. ANNUAL INCOME
		CASH & CASH EQUIVALENTS						
CASH	36,055.02	TD WATERHOUSE MONEY MARKET PORTFOLIO INVESTOR CL (SWEEP)	CMFMZ	1.000	36,055.02	40.4	3.800	1,370
		MUTUAL FUNDS						
CASH	531.153	EATON VANCE GROWTH TRUST ASIAN SMALL COS FD-CL A	EVASX	26.550	14,102.11	15.8		
CASH	619.705	LOOMIS SAYLES BOND FUND RETAIL CLASS	LSBRX	13.710	8,496.16	9.5	0.820	508
CASH	270.467	U S GLOBAL ACCOLADE FUNDS REGENT EASTERN EUROPEAN FUND	EUROX	45.690	12,357.64	13.9		
CASH	1,082.554	US GLOBAL INVESTORS GLOBAL RESOURCES FUND	PSPFX	16.770	18,154.43	20.4	0.315	341
		TOTAL - MUTUAL FUNDS			53,110.34	59.6		849
		TOTAL ACCOUNT			89,165.36	100.0		2,219

INCOME AND EXPENSE SUMMARY

	This Period	Year-To-Date		This Period	Year-To-Date
DIVIDENDS	\$212.08	\$445.16	MARGIN INTEREST PAID		
NON-TAXABLE DIVIDENDS			DIVIDENDS CHARGED		
BOND INTEREST			ACCRUED INT ON PURCHASES		

RETIREMENT ACCOUNT INFORMATION

DESCRIPTION	AMOUNT	DESCRIPTION	AMOUNT
EMPLOYER Q/P CONTRIBUTION 2005	1,168.45	1 PR YR EXCESS REF NON-TAXABLE	1,000.00
EMP DEFER 2005 CT MADE IN 2006	1,000.00	EMP ELECTIVE DEF CONTRIB 2005	2,000.00
EMP ELECTIVE DEF CONTRIB 2006	4,000.00		

MONTHLY ACTIVITY - INFORMATION TO BALANCE YOUR ACCOUNT

MONTHLY ACTIVITY SUMMARY

DEBITS		CREDITS	
DIVIDEND/INTEREST CHARGED	\$ 0.00	DIVIDEND/INTEREST INCOME	\$ 212.08
MONEY MARKET FUNDS PURCHASED	2,112.98	MONEY MARKET FUNDS SOLD	359.52
OTHER DEBITS	458.62	OTHER CREDITS	2,000.00
TOTAL DEBITS	\$2,571.60	TOTAL CREDITS	\$2,571.60

OPENING BALANCE \$0.00 + NET ACTIVITY \$0.00 = CLOSING BALANCE BROKERAGE ACCOUNT \$0.00

MONTHLY ACTIVITY BY ABOVE CATEGORY

DIVIDEND AND INTEREST ACTIVITY

ACCT	DATE	ENTRY	DESCRIPTION	QUANTITY	DEBIT	CREDIT
CASH	03/27	DIVIDEND	LOOMIS SAYLES BOND FUND RETAIL CLASS			99.10
CASH	03/31	DIVIDEND	RECORD 03/23/06 PAY 03/24/06 TD WATERHOUSE MONEY MARKET PORTFOLIO INVESTOR CL (SWEEP) MONTHLY DIVIDEND			112.98
			TOTAL			212.08

MONEY MARKET ACTIVITY

DATE	ENTRY	DESCRIPTION	AMOUNT	BALANCE
		OPENING BALANCE MONEY MARKET FUND		34,301.56
03/02	SOLD	TD WATERHOUSE MONEY MARKET PORTFOLIO INVESTOR CL (SWEEP) FUNDS REDEEMED	(359.52)	33,942.04
03/14	BOUGHT	TD WATERHOUSE MONEY MARKET PORTFOLIO INVESTOR CL (SWEEP) FUNDS PURCHASED	2,000.00	35,942.04
03/31	REINVEST	TD WATERHOUSE MONEY MARKET PORTFOLIO INVESTOR CL (SWEEP) MONTHLY DIVIDEND REINVESTED	112.98	36,055.02
		CLOSING BALANCE MONEY MARKET FUND		36,055.02

OTHER DEBIT AND CREDIT ACTIVITY

ACCT	DATE	ENTRY	DESCRIPTION	QUANTITY	DEBIT	CREDIT
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CASH	03/02	JOURNAL	LEGACY WEALTH MANAGEMENT LLC 06/01/2006-08/31/2006 MGMT FEE			359.52	
CASH	03/14	IRA	DEPOSIT				1,000.00
CASH	03/14	IRA	CHECK DEPOSIT				1,000.00
CASH	03/14	IRA	DEPOSIT				
CASH	03/14	IRA	CHECK DEPOSIT			1,000	
CASH	03/14	IRA	EMP ELECTIVE DEF CONTRIB 2006			1,000	
CASH	03/14	IRA	CHECK DEPOSIT				
CASH	03/14	IRA	EMP ELECTIVE DEF CONTRIB 2006			1,000	
CASH	03/14	IRA	CHECK DEPOSIT				
CASH	03/27	REINVEST	LOOMIS SAYLES BOND FUND RETAIL CLASS REINVEST PRICE \$ 13.73			7.218	99.10
			TOTAL				458.62
							2,000.00

CHRONOLOGICAL RECAP OF ABOVE MONTHLY ACTIVITY

ACCT	DATE	ENTRY	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT	BALANCE
			OPENING BALANCE					0.00
CASH	03/02	JNL	LEGACY WEALTH MANAGEMENT LLC 06/01/2006-08/31/2006 MGMT FEE			359.52		(359.52)
CASH	03/02	SOLD	TD WATERHOUSE MONEY MARKET PORTFOLIO INVESTOR CL (SWEEP) FUNDS REDEEMED	(359.52)			359.52	0.00
CASH	03/14	IRA	DEPOSIT				1,000.00	1,000.00
CASH	03/14	IRA	CHECK DEPOSIT				1,000.00	2,000.00
CASH	03/14	IRA	DEPOSIT					
CASH	03/14	IRA	CHECK DEPOSIT					
CASH	03/14	BGHT	TD WATERHOUSE MONEY MARKET PORTFOLIO INVESTOR CL (SWEEP) FUNDS PURCHASED	2,000.00		2,000.00		0.00
CASH	03/14	IRA	EMP ELECTIVE DEF CONTRIB 2006	1,000				0.00
CASH	03/14	IRA	CHECK DEPOSIT					
CASH	03/14	IRA	EMP ELECTIVE DEF CONTRIB 2006	1,000				0.00
CASH	03/14	IRA	CHECK DEPOSIT					
CASH	03/27	REIN	LOOMIS SAYLES BOND FUND RETAIL CLASS REINVEST PRICE \$ 13.73	7.218		99.10		(99.10)
CASH	03/27	DIV	LOOMIS SAYLES BOND FUND RETAIL CLASS				99.10	0.00
CASH	03/31	REIN	RECORD 03/23/06 PAY 03/24/06 TD WATERHOUSE MONEY MARKET PORTFOLIO INVESTOR CL (SWEEP)	112.98		112.98		(112.98)
CASH	03/31	DIV	MONTHLY DIVIDEND REINVESTED TD WATERHOUSE MONEY MARKET PORTFOLIO INVESTOR CL (SWEEP)				112.98	0.00
			MONTHLY DIVIDEND					
			TOTAL DEBITS AND CREDITS			2,571.60	2,571.60	
			CLOSING BALANCE					0.00

Important Notice: Effective April 24 2006: The name of the custodian on all retirement plan accounts will change from TD Waterhouse Bank, N. A., to TD Bank USA, N.A.

Important Notice: Effective April 24, 2006: The name of the cash sweep vehicle TD Waterhouse Bank, N.A., FDIC-Insured Money Market Account will change to TD Bank USA, N.A., FDIC-Insured Money Market Account.

**IF YOU FIND ANY ERRORS OR OMISSIONS REGARDING YOUR STATEMENT,
PLEASE CALL 1-800-431-3500.
YOUR ACCOUNT IS CARRIED BY NATIONAL INVESTOR SERVICES CORP.**

Customer Service

- (1) Please review this Statement carefully. If you disagree with any transaction, or if there are any errors or omissions on this statement, you must notify our Customer Service Department or your local branch office in writing within ten (10) days of your receipt of this Statement. This statement will otherwise be deemed conclusive.
- (2) Trades pending settlement will not appear under the Daily Brokerage Activity heading nor will they affect your portfolio balances. These trades will appear on your next monthly statement as settled transactions.
- (3) Please notify us promptly by calling or in writing of any change of address or phone number(s). Remember to change all of your accounts.
- (4) If this statement shows that we have mailed or delivered security certificate(s) that you have not received, notify your branch office immediately in writing. We will arrange for a stop order and replacement certificate(s). If you do not notify us promptly, you may be responsible for contacting the transfer agent directly for replacement.

Option Accounts

- (1) Further information with respect to commissions and other charges related to the execution of options transactions has been included in confirmations of such transactions previously furnished to you and will be made available promptly upon request.
- (2) You should advise us promptly of any material changes in your investment objectives or financial situation.
- (3) Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a procedure that randomly selects those contracts which are subject to exercise from among all customer short option positions, including positions established on the day of assignment. All short positions are liable for assignment at any time. A more detailed description of our random allocation procedure is available upon request.

Account Types

CASH = Cash Account	LEGAL = Legal
MRGN = Margin Account	SHRT = Short Account
INCM = Income Account	MISC = All Others

Headings

Income/Expense Summary - A summary of your monthly and year-to-date dividend, bond interest, other types of income, and margin interest.

Portfolio Value Summary - The value of your portfolio at the period ending date, categorized by investment type. Values do not include unpriced securities.

Portfolio Positions - A listing of securities in your account that have settled on or prior to the statement date and a listing of securities sold in your account that have not yet been received by us or that have been sold short.

Trades Pending - A listing, by settlement date, of securities or certificates of deposit bought or sold that will settle on the next monthly statement.

Open Order Details - A listing of all Good Through 60 Day orders in effect as of the closing date of the statement.

IRA Information - A listing of contributions to, and distributions from, your IRA account.

Monthly Activity Summary - A quick summary of the credit and debit activity in your account during the month.

Securities Purchased and Sold - A listing, by settlement date, of all securities bought and sold.

Checks Issued and Deposited - A listing of all checks paid and received in chronological order.

Dividend and Interest Activity - A chronological listing of all dividends and interest paid or charged to your account.

Other Debits and Credits Activity - A chronological listing of all miscellaneous debit and credit activity in your account.

Chronological Recap of Above Monthly Activity - A listing of all your transactions for the month, in date order, along with the daily closing balance in your account.

Important Information

- (1) Any free credit balance represents funds payable upon demand which, although properly accounted for on our books of record, are not segregated, and may be used in the conduct of this firm's business as permissible under Securities and Exchange Commission Rule 15c3-2.
- (2) We will hold bonds and preferred stocks in bulk segregation. In the event of a call for less than an entire issue or series of these securities, the securities to be called will be automatically selected on a random basis from those held in bulk. A copy of the procedures used for random selection is available upon request. The probability that your securities will be selected is proportional to the amount of your holdings relative to those of our other clients held in bulk.
- (3) Estimated annual income figures are derived from standard statistical sources not prepared by us. We do not guarantee the accuracy of such information. As the figures are subject to change at any time, they should not be relied upon for investment or trading decisions.
- (4) We are required to report to the Internal Revenue Service on Form 1099 all cash dividends and bond interest credited to your account on securities held for you in our name. We also report the proceeds of all sales of securities (including short sales) on Form 1099-B.
- (5) Your brokerage account is carried by National Investor Services Corp. ("NISC"). Any inquiries regarding positions and balances may be directed to NISC through 1-800-431-3500. All other inquiries regarding your account or the activity therein should be directed to your broker.
- (6) NISC directs customer orders in equity securities to exchanges and market makers based on an analysis of their ability to provide rapid and quality executions. These market participants guarantee that all customer orders are executed at a price equal to or better than the displayed national best bid/best offer. Our policy also assures that these market participants provide our customer orders with the opportunity for price improvement and limit order protection. NISC and your broker may receive remuneration for directing customer orders to these market participants, the source and amount of which is available upon written request.
- (7) Our financial statement is available for your personal inspection at our office, or a copy of it will be mailed to you upon your written request.

Position Pricing Method

Prices for securities are as of the close of business on statement date, or the most representative price available on that date. Although prices are obtained from sources which are deemed to be reliable, we cannot guarantee their accuracy. Prices for unlisted bonds are approximations, not actual market bids, and are provided only as a general guide. They are based upon a computerized pricing model and do not necessarily reflect the actual market price. Investment decisions should be made only after contacting your Account Officer for an actual quote. Unpriced securities are shown as NP (not priced).

Margin Accounts

(1) This is a combined statement of your margin account and special memorandum account maintained for you under Section 220.6 of Regulation T, issued by the Board of Governors of the Federal Reserve System. The permanent record of the special memorandum account, as required by Regulation T, is available for your inspection upon request. (2) Interest is computed from the next to last business day of the prior month to the second to last business day of the current month. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the average daily net debit balance based on an interest year of 360 days.

Dividend and Interest Information

The Income/Expense Summary is meant as an investment aid, not as a substitute for year-end tax forms such as the 1099. Taxable and non-taxable designations refer to federal income tax status. Please note: not all of the following categories will appear on your statement, only those that apply to you.

Taxable Dividends - Dividends received from stocks and taxable mutual funds and money market funds.

Non-Taxable Dividends - Dividends received from tax-free mutual funds, money market funds and other tax-free investments.

Taxable Bond Interest - Interest received on corporate bonds, treasuries, GNMA's, CDs and commercial paper.

Non-Taxable Bond Interest - Interest on certain non-taxable government-related issues.

Non-Taxable Muni-Bond Interest - Certain municipal bond issues may be subject to alternative minimum tax.

Taxable Muni-Bond Interest - Interest received on certain municipal bonds that are considered taxable by the IRS.

Liquidations - Taxable distributions from stocks resulting from corporate liquidations.

Capital Gains - Capital Gains distributions from stocks and mutual funds that are taxable.

MLP Distributions - Distributions reported to the IRS directly by the Partnership. You will receive a K1 form from the partnership.

Abbreviation	Description	Abbreviation	Description
ASG	Assigned	INT	Interest
CHK	Check	JNL	Journal
CONV	Conversion	MARK	Mark to Market
DEL	Delivered	POS	Point of Sale
DEP	Deposit	REC	Received
DIV	Dividend	REIN	Reinvest
EXR	Exercise	WDRL	Withdrawal

Deposits & Securities

Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured, and involve investment risks, including possible loss of principal.

Please Retain This Statement

This statement contains information that may be needed to enable you to prepare your tax returns.



TD Waterhouse Institutional Services
 100 Wall Street
 New York, NY 10005-3701
 A division of TD Waterhouse Investor Services, Inc. Member NYSE/SIPC.

ACCOUNT STATEMENT

ACCOUNT NO.	LAST STATEMENT	PERIOD ENDING	PAGE
529-96425-1-1	02/28/2006	03/31/2006	1 OF 4



YOUR INVESTMENT ADVISOR
 LEGACY WEALTH MGMT LLC
 609 S KELLEY AVE SUITE K-2
 EDMOND OK 73003
 (405) 341-7676

PORTFOLIO VALUE SUMMARY

	<u>THIS PERIOD</u>	<u>%</u>	<u>LAST PERIOD</u>	<u>%</u>
MONEY MARKET ACCT - FDIC (NOT COVERED BY SIPC)				
MONEY MARKET FUND	\$36,055.02	40.4	\$ 34,301.56	40.1
STOCKS				
FIXED INCOME				
OPTIONS				
MUTUAL FUNDS	53,110.34	59.6	51,184.23	59.9
UNIT INVESTMENT TRUSTS				
TOTAL PORTFOLIO VALUE	\$89,165.36	100.0	\$85,485.79	100.0

PORTFOLIO POSITIONS LONG

ACCT	QUANTITY	DESCRIPTION	SYMBOL	MARKET PRICE	MARKET VALUE	PORT PCT	DIV OR INT %	EST. ANNUAL INCOME
CASH	36,055.02	CASH & CASH EQUIVALENTS TD WATERHOUSE MONEY MARKET PORTFOLIO INVESTOR CL (SWEEP)	CMFMZ	1.000	36,055.02	40.4	3.800	1,370
CASH	531.153	MUTUAL FUNDS EATON VANCE GROWTH TRUST	EVASX	26.550	14,102.11	15.8		
CASH	619.705	ASIAN SMALL COS FD-CL A LOOMIS SAYLES BOND FUND RETAIL CLASS	LSBRX	13.710	8,496.16	9.5	0.820	508
CASH	270.467	U S GLOBAL ACCOLADE FUNDS REGENT EASTERN EUROPEAN FUND	EUROX	45.690	12,357.64	13.9		
CASH	1,082.554	US GLOBAL INVESTORS GLOBAL RESOURCES FUND	PSPFX	16.770	18,154.43	20.4	0.315	341
		TOTAL - MUTUAL FUNDS			53,110.34	59.6		849
		TOTAL ACCOUNT			89,165.36	100.0		2,219

ACCOUNT NO.
529-96425-1-1

CUSTOMER NAME
[REDACTED]

PERIOD ENDING
03/31/2006

PAGE
2 OF 4

INCOME AND EXPENSE SUMMARY

	<u>THIS PERIOD</u>	<u>YEAR-TO-DATE</u>		<u>THIS PERIOD</u>	<u>YEAR-TO-DATE</u>
DIVIDENDS	\$212.08	\$445.16	MARGIN INTEREST PAID		
NON-TAXABLE DIVIDENDS			DIVIDENDS CHARGED		
BOND INTEREST			ACCRUED INT ON PURCHASES		

RETIREMENT ACCOUNT INFORMATION

<u>DESCRIPTION</u>	<u>AMOUNT</u>	<u>DESCRIPTION</u>	<u>AMOUNT</u>
EMPLOYER Q/P CONTRIBUTION 2005	1,168.45	1 PR YR EXCESS REF NON-TAXABLE	1,000.00
EMP DEFER 2005 CT MADE IN 2006	1,000.00	EMP ELECTIVE DEF CONTRIB 2005	2,000.00
EMP ELECTIVE DEF CONTRIB 2006	4,000.00		

MONTHLY ACTIVITY - INFORMATION TO BALANCE YOUR ACCOUNT

MONTHLY ACTIVITY SUMMARY

DEBITS		CREDITS	
DIVIDEND/INTEREST CHARGED	\$ 0.00	DIVIDEND/INTEREST INCOME	\$ 212.08
MONEY MARKET FUNDS PURCHASED	2,112.98	MONEY MARKET FUNDS SOLD	359.52
OTHER DEBITS	458.62	OTHER CREDITS	2,000.00
TOTAL DEBITS	\$2,571.60	TOTAL CREDITS	\$2,571.60

OPENING BALANCE \$0.00 + NET ACTIVITY \$0.00 = CLOSING BALANCE BROKERAGE ACCOUNT \$0.00

MONTHLY ACTIVITY BY ABOVE CATEGORY

DIVIDEND AND INTEREST ACTIVITY

ACCT	DATE	ENTRY	DESCRIPTION	QUANTITY	DEBIT	CREDIT
CASH	03/27	DIVIDEND	LOOMIS SAYLES BOND FUND RETAIL CLASS RECORD 03/23/06 PAY 03/24/06			99.10
CASH	03/31	DIVIDEND	TD WATERHOUSE MONEY MARKET PORTFOLIO INVESTOR CL (SWEEP) MONTHLY DIVIDEND			112.98
			TOTAL			212.08

MONEY MARKET ACTIVITY				
<i>DATE</i>	<i>ENTRY</i>	<i>DESCRIPTION</i>	<i>AMOUNT</i>	<i>BALANCE</i>
03/02	SOLD	OPENING BALANCE MONEY MARKET FUND TD WATERHOUSE MONEY MARKET PORTFOLIO INVESTOR CL (SWEEP) FUNDS REDEEMED	(359.52)	34,301.56 33,942.04
03/14	BOUGHT	TD WATERHOUSE MONEY MARKET PORTFOLIO INVESTOR CL (SWEEP) FUNDS PURCHASED	2,000.00	35,942.04
03/31	REINVEST	TD WATERHOUSE MONEY MARKET PORTFOLIO INVESTOR CL (SWEEP) MONTHLY DIVIDEND REINVESTED CLOSING BALANCE MONEY MARKET FUND	112.98	36,055.02 <hr/> 36,055.02

OTHER DEBIT AND CREDIT ACTIVITY						
<i>ACCT</i>	<i>DATE</i>	<i>ENTRY</i>	<i>DESCRIPTION</i>	<i>QUANTITY</i>	<i>DEBIT</i>	<i>CREDIT</i>
CASH	03/14	IRA	DEPOSIT CHECK DEPOSIT			1,000.00
CASH	03/14	IRA	DEPOSIT CHECK DEPOSIT			1,000.00
CASH	03/14	IRA	EMP ELECTIVE DEF CONTRIB 2006 CHECK DEPOSIT	1,000		
CASH	03/14	IRA	EMP ELECTIVE DEF CONTRIB 2006 CHECK DEPOSIT	1,000		
CASH	03/27	REINVEST	LOOMIS SAYLES BOND FUND RETAIL CLASS REINVEST PRICE \$ 13.73	7.218	99.10	
			TOTAL		99.10	2,000.00

CHRONOLOGICAL RECAP OF ABOVE MONTHLY ACTIVITY								
ACCT	DATE	ENTRY	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT	BALANCE
CASH	03/02	SOLD	TD WATERHOUSE MONEY MARKET PORTFOLIO INVESTOR CL (SWEEP) FUNDS REDEEMED	(359.52)			359.52	0.00
CASH	03/14	IRA	DEPOSIT CHECK DEPOSIT				1,000.00	1,000.00
CASH	03/14	IRA	DEPOSIT CHECK DEPOSIT				1,000.00	2,000.00
CASH	03/14	BGHT	TD WATERHOUSE MONEY MARKET PORTFOLIO INVESTOR CL (SWEEP) FUNDS PURCHASED	2,000.00		2,000.00		0.00
CASH	03/14	IRA	EMP ELECTIVE DEF CONTRIB 2006 CHECK DEPOSIT	1,000				0.00
CASH	03/14	IRA	EMP ELECTIVE DEF CONTRIB 2006 CHECK DEPOSIT	1,000				0.00
CASH	03/27	REIN	LOOMIS SAYLES BOND FUND RETAIL CLASS REINVEST PRICE \$ 13.73	7.218		99.10		(99.10)
CASH	03/27	DIV	LOOMIS SAYLES BOND FUND RETAIL CLASS RECORD 03/23/06 PAY 03/24/06				99.10	0.00
CASH	03/31	REIN	TD WATERHOUSE MONEY MARKET PORTFOLIO INVESTOR CL (SWEEP) MONTHLY DIVIDEND REINVESTED	112.98		112.98		(112.98)
CASH	03/31	DIV	TD WATERHOUSE MONEY MARKET PORTFOLIO INVESTOR CL (SWEEP) MONTHLY DIVIDEND				112.98	0.00
						2,571.60	2,571.60	
								0.00

Important Notice: Effective April 24 2006:

The name of the custodian on all retirement plan accounts will change from TD Waterhouse Bank, N.A., to TD Bank USA, N.A.

Important Notice: Effective April 24, 2006:

The name of the cash sweep vehicle TD Waterhouse Bank, N.A., FDIC-Insured Money Market Account will change to TD Bank USA, N.A., FDIC-Insured Money Market Account.

STATE OF OKLAHOMA
DEPARTMENT OF SECURITIES
FIRST NATIONAL CENTER, SUITE 860
120 NORTH ROBINSON
OKLAHOMA CITY, OKLAHOMA 73102



In the Matter of:

Jasper E. Bidy IV (CRD No. 3212045), and
Legacy Wealth Management, LLC (CRD No. 133078),

Respondents.

ODS File No. 07-098

SECOND SUMMARY ORDER SUSPENDING REGISTRATIONS

Pursuant to Section 1-410 of the Oklahoma Uniform Securities Act of 2004 ("Act"), Okla. Stat. tit. 71, §§ 1-101 through 1-701 (Supp. 2003), the Examinations Division of the Oklahoma Department of Securities ("Department") conducted an inspection of Legacy Wealth Management, LLC ("Legacy") and Jasper E. Bidy IV ("Bidy") (collectively, "Respondents"), for the time period January 1, 2006, through April 30, 2007. Based thereon, the Enforcement Division of the Department filed an *Enforcement Division Recommendation to Revoke and Summarily Suspend Registrations* ("Recommendation") in this matter with the Administrator of the Department ("Administrator") on July 20, 2007. On July 23, 2007, the Administrator issued a notice of opportunity for hearing on the Recommendation.

The Administrator issues this Order pursuant to Section 1-411 of the Act.

ORDER

IT IS HEREBY ORDERED that the investment adviser registration of Legacy and the investment adviser representative registration of Bidy are suspended until a final determination on the recommendation to revoke their registrations.

NOTICE OF OPPORTUNITY FOR HEARING ON SUMMARY ORDER

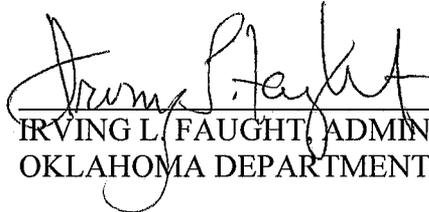
Pursuant to Section 1-411 of the Act, the Administrator hereby gives notice to Respondents of their right to request a hearing on this Order. The request for hearing must be in writing and received by the Administrator within thirty (30) days after service of this Order.

Within fifteen (15) days after receipt of a request for hearing from Respondents, this matter will be scheduled for hearing. The hearing shall commence within fifteen (15) days of the matter being set for hearing. Notice of the date, time and location of the hearing shall be given to Respondents. If a hearing is requested, the Administrator, after notice and opportunity for hearing to Respondents, may modify or vacate this Order or extend it until final determination.

If Respondents do not request a hearing within thirty (30) days after the date of service of this Order and none is ordered by the Administrator, the Order becomes final as to Respondents by operation of law.

Witness my Hand and the Official Seal of the Oklahoma Department of Securities this 23rd day of July, 2007.

(SEAL)



IRVING L. FAUGHT, ADMINISTRATOR OF THE
OKLAHOMA DEPARTMENT OF SECURITIES

CERTIFICATE OF SERVICE

The undersigned hereby certifies that on the 23rd day of July, 2007, a true and correct copy of the above and foregoing Second Summary Order Suspending Registrations was emailed to Respondents at jbiddy@legacy-wealth.com and mailed by certified mail, return receipt requested, delivery restricted, with postage prepaid thereon, addressed to:

Legacy Wealth Management, LLC
609 South Kelly Avenue, Suite K2
Edmond, OK 73003

Jasper E. Bidy, IV
Legacy Wealth Management, LLC
609 South Kelly Avenue, Suite K2
Edmond, OK 73003

