STATE OF OKLAHOMA
DEPARTMENT OF SECURITIES
FIRST NATIONAL CENTER, SUITE 860
120 NORTH ROBINSON
OKLAHOMA CITY, OKLAHOMA 73102

In the Matter of:

Colby Younger Ruth (CRD #5093489),

Respondent. ODS File No. 12-057

PRELIMINARY WITNESS AND EXHIBIT LIST
OF THE OKLAHOMA DEPARTMENT OF SECURITIES

The Oklahoma Department of Securities ("Department") submits this preliminary witness and exhibit list in the above-styled matter in compliance with 660:2-9-3 of the Rules of the Oklahoma Securities Commission and the Administrator of the Oklahoma Department of Securities and the *Scheduling Order* issued on August 6, 2012.

Witness List

1. (a) Colby Younger Ruth; (b) business address not provided because witness is the Respondent in this matter; (c) home address not provided because witness is the Respondent in this matter; (d) expected to testify about the allegations contained in the Findings of Fact in the Recommendation.

2. (a) Cary Coker, District 18 Manager; (b) Farmers Insurance Group, 13819 Quail Point Drive, Oklahoma City, Oklahoma, 73134; (c) expected to testify about allegations contained in the Findings of Fact in the Recommendation, the supervisory system, the written compliance and supervisory procedures of Farmers Financial Solutions, LLC., and his knowledge of Respondent's termination.

3. (a) Steven Klein, VP of Compliance; (b) Farmers Financial Solutions, LLC, 30801 Agoura Road, Building 1, Agoura Hills, CA 91301; (c) expected to testify about allegations contained in the Findings of Fact in the Recommendation, the supervisory system, and the written compliance and supervisory procedures of Farmers Financial Solutions, LLC.

4. (a) Dan Edmonds, Senior Audit Consultant; (b) Farmers Investigations, Austin, Texas; (c) expected to testify about allegations contained in the

Findings of Fact in the Recommendation and his findings in the Internal Audit Report dated November of 2011.

5. (a) Asian Foot Spa c/o LiLi Zhang; (b) 14300 N. Pennsylvania Avenue, Apt. 217, Oklahoma City, OK 73134; (c) expected to testify about her communications and insurance transactions with Respondent.

6. (a) Jackie Orr c/o Team Pizza, LLC; (b) 577 S. Hwy 169, Oologah, OK 74053; (c) expected to testify about her communications and insurance transactions with Respondent.

7. (a) Carol Gruis; (b) Oklahoma Department of Securities, 120 North Robinson Avenue, Suite 860, Oklahoma City, Oklahoma 73102; (c) home address not provided because witness is an employee of the Department; (d) expected to testify about her knowledge of Respondent's termination and Respondent's violations of Oklahoma securities laws.

8. One or more agents and/or employees, located in Oklahoma and not identified above, of Farmers Insurance Group are expected to be called as witnesses to testify about Farmers Insurance Group's supervision of its associated persons and the supervisory system and written procedures of Farmers Insurance Group.

9. All witnesses identified on Respondent's preliminary or final witness list, or called at hearing by Respondent.

10. All persons on Respondent's witness list for whom the Department asserts no objection.

Exhibit List

1. Pleadings, motions, and other documents filed in this matter.

2. Deposition testimony of any witness.

3. Certain bank records of Respondent.

4. Central Registration Depository ("CRD") records relating to Respondent.

5. Farmers Insurance Group Supervisory Procedures for Compliance.

6. Customer checks from LiLi Zhang and Team Pizza, LLC.

7. Special Audit Report prepared by Dan Edmonds, Senior Audit Consultant for Farmers Investigation, dated November of 2011.

8. Email from Respondent to Dan Edmonds dated September 15, 2011.

9. Memo from Cary Coker to Oliver Kamp of FINRA regarding Respondent's termination.

10. All exhibits listed by the Respondent to which the Department asserts no objection.

The Department expressly reserves the right to identify and list additional exhibits and witnesses on its Final Witness List and Final Exhibit List.

Dated this 6th day of August, 2012.

Amanda Cornmesser, OBA # 20044
Oklahoma Department of Securities
120 North Robinson, Suite 860
Oklahoma City, Oklahoma 73102
Telephone: (405) 280-7700
Facsimile: (405) 280-7742

CERTIFICATE OF SERVICE

The undersigned certifies that on the 6th day of August, 2012, a true and correct copy of the *Preliminary Witness and Exhibit List of the Oklahoma Department of Securities* was mailed, by first class mail, postage prepaid, to:

Colby Ruth
12002 Oak Drive
Henryetta, OK 74437

Colby Ruth
16709 La Paloma Lane
Edmond, OK 73013

Brenda London